

Original Research Article

Nonlinear optimal control of epidemic dynamics under healthcare capacity constraints

Abstract

Healthcare saturation can amplify epidemic mortality, yet most models assume constant fatality rates. We propose a nonlinear optimal control framework for a structured SUDTHREV system that links transmission dynamics, staged progression, and capacity-dependent mortality through a sigmoidal response. The Pontryagin Maximum Principle is used to solve the optimal control problem, resulting in a coupled forward-backward system for vaccination, testing, treatment, and transmission techniques. Numerical findings indicate a shift to a subcritical regime with a 90% decrease in mortality and an 85% decrease in peak infections. Sensitivity analysis shows that survival outcomes are influenced by therapy, but epidemic magnitude is determined by transmission controls. These findings provide a framework for designing interventions in capacity-constrained settings.

Keywords: Healthcare saturation; Optimal control theory; Optimal control theory; Sigmoidal response; Pontryagin Maximum Principle

2010 Mathematics Subject Classification: 53C25; 83C05; 57N16

1 Introduction

Infectious disease outbreaks continue to pose significant challenges to public health systems, especially in low- and lower-middle-income countries (LLMICs), where only about 22% of health facilities meet pandemic capacity standards and demand surges frequently exceed capacity (Madhav et al., 2017; Omosigbo et al., 2023; Falodun et al., 2025; Hakim and Haque, 2026). Emerging pathogens (such as COVID-19, Cholera, mpox) and re-emerging diseases (such as tuberculosis and measles) test weak infrastructures, resulting in high mortality and significant social and economic disruption (Okeke et al., 2022; Kaba et al., 2025).

A structured framework for studying the spread of infectious diseases and assessing intervention strategies has long been offered by mathematical models (Kretzschmar and Wallinga, 2009; Durojaye

and Odeyemi, 2019; Durojaye et al., 2019; Odeyemi et al., 2023; Okafor et al., 2025; Pathak and Kota, 2025). Classical compartmental models, including extensions with optimal control, have been extensively used to investigate the effects of transmission reduction, testing, treatment, and vaccination on epidemic dynamics (Tolles and Luong, 2020; Khan et al., 2022). These approaches have resulted in significant discoveries with regard to the cost-effectiveness of the intervention strategies and the threshold requirements for disease elimination (Shretta et al., 2017; Biswas et al., 2017; Kuddus et al., 2024; Olaiju et al., 2025a; Antony Oliver et al., 2025).

In spite of these advances, one important drawback remains evident in the majority of the literature. In the context of the epidemiological models, including the SIR, SIRD, and SEIR models, the mortality rates remain constant or linearly dependent. This indicates the implicit assumption that the healthcare facilities operate under stable conditions. However, this overlooks the fact that the mortality rates can increase in scenarios where the healthcare facilities are overwhelmed by the high epidemic intensity. Empirical evidence collected from the recent epidemic scenarios indicates that the healthcare facilities considerably affect the mortality rates, with the rates increasing with the demand for hospitalizations reaching the capacity limits (Tolles and Luong, 2020; Martin et al., 2021; Xue et al., 2025). This indicates the presence of a non-linear feedback relationship, which is not adequately addressed by the standard models, including the interactions between the healthcare burdens and the disease progression.

A number of modelling studies have attempted to incorporate constraints on healthcare capacities, such as those discussed in (Marshall et al., 2015; Vugrin et al., 2015; Singla, 2020; Shahverdi et al., 2023; Petanidis et al., 2025). However, such implementations are often modelled using simple or discontinuous structures that do not accurately reflect continuous transitions from managed to overwhelmed states. In addition, the relationship between capacity-dependent mortality and optimal intervention methods has not been sufficiently explored. In particular, current optimal control models devote little attention to understanding how nonlinear mortality affects optimal intervention strategies, typically focusing on minimising infection prevalence or intervention cost, as discussed in (Xia et al., 2024; Idisi et al., 2025; Liu et al., 2025; Nainggolan et al., 2025; Caravaggio et al., 2026). In contrast, linear models fail to account for excess mortality resulting from high infection prevalence, leading to a saturation of healthcare capacities, a problem that can be reduced using nonlinear models. Thus, current models may not fully appreciate the need to prevent such saturation and fail to apprehend trade-offs, as discussed in (Yadav and Akhter, 2021; Thokala et al., 2025; Hakim and Haque, 2026; Kowalewska et al., 2026).

Furthermore, current optimal control models fail to accurately apprehend staged disease progression, as discussed in (Kretzschmar et al., 2022). The relationship between undetected infections, detected cases, treatments, hospitalisations, and mortality is often oversimplified, making it difficult to apprehend how interventions affect patient outcomes and transmission, as discussed in (Grassly and Fraser, 2008; Qu et al., 2021; Sanz-Lorenzo and Bravo de la Parra, 2024). This oversimplification fails to allow current models to differentiate between controls that reduce transmission and those that affect disease severity and survival.

A nonlinear optimal control model, along with a structured SUDTHREV epidemiological model, will be developed to address these issues, allowing for a more accurate representation of transmission, disease progression, and mortality, dependent on healthcare capacities. A sigmoidal form of a hospital-induced mortality function will be a key part of the model, allowing for a continuous representation of how fatality risk increases with hospitalisation. This formulation allows the model to capture the nonlinear transition from baseline mortality to elevated risk during saturation, connecting epidemic dynamics to healthcare system constraints.

Accordingly, in this framework, a multi-control optimization problem is posed in order to assess different intervention strategies in terms of vaccination, improvement of treatments, increase of testing, and reduction of transmission. The Pontryagin Maximum Principle is applied to the system, yielding a forward-backward formulation for the optimal policies. The trade-off between health effects and resource allocation is made possible by the cost functional.

The objective of this study is to identify how intervention strategies can be most effectively designed to control the spread of the disease while avoiding system overload, hence controlling both the level of infection and mortality rates. By specifically incorporating nonlinear mortality dynamics, this analysis helps clarify how intervention strategies control not just the scale of the epidemic, but its severity as well. This helps to overcome a major drawback of current models by specifically relating optimal control to healthcare-based nonlinear feedback, and hence establishes a basis for understanding the management of epidemics in terms of controlling system behavior in the face of system saturation.

2 Methodology

2.1 Model Structure and Governing Dynamics

We formulate a compartmental epidemiological system that captures disease transmission, clinical progression, healthcare burden, and intervention strategies through a coupled system of nonlinear ordinary differential equations. The total population is partitioned into eight epidemiological states representing susceptible, undetected infected, diagnosed, treated, hospitalized, recovered, extinct (disease-induced mortality), and vaccinated individuals.

We consider a deterministic compartmental model consisting of eight epidemiological states: susceptible (S), unreported infected (U), detected cases (D), treated individuals (T), hospitalized individuals (H), recovered (R), deceased (E), and vaccinated (V). The total population evolves under the influence of four time-dependent control variables representing intervention strategies.

The controlled system is given by:

$$\begin{cases} \dot{S} = -\beta(1 - w_1(t))SU - \xi w_4(t)S + \eta V, \\ \dot{U} = \beta(1 - w_1(t))SU - (\gamma + \delta w_2(t) + \sigma_u)U, \\ \dot{D} = \delta w_2(t)U - (\sigma_d + \phi_d)D, \\ \dot{T} = \sigma_u U + \sigma_d D - (\phi_t + \mu_t)T, \\ \dot{H} = \phi_d D + \phi_t T - (\theta w_3(t) + \bar{\mu}_h(Q))H, \\ \dot{R} = \theta w_3(t)H + \gamma U, \\ \dot{E} = \mu_t T + \bar{\mu}_h(Q)H, \\ \dot{V} = \xi w_4(t)S - \eta V. \end{cases} \quad (2.1)$$

where the control variables w_1, w_2, w_3, w_4 represent time-dependent intervention policies associated with transmission mitigation, testing intensification, therapeutic enhancement, and vaccination deployment, respectively (see Table 1). To capture healthcare system saturation, the hospital-induced mortality rate is modeled as a nonlinear sigmoidal function:

$$\bar{\mu}_h(Q) = \mu_h + \frac{\mu_h^{\max} - \mu_h}{1 + e^{-k(Q - Q_{\text{crit}})}}, \quad (2.2)$$

where Q denotes the hospital burden, approximated by the hospitalized population H . This formulation ensures a smooth transition from baseline mortality to elevated mortality when healthcare capacity is exceeded. The model guarantees non-negativity of solutions and incorporates nonlinear feedback between disease dynamics and healthcare capacity, making it suitable for optimal control analysis under resource constraints.

The model parameters were selected to reflect realistic epidemiological and intervention dynamics. Transmission, detection, treatment, and vaccination processes are governed by parameters summarized in Table 2. The nonlinear hospital-induced mortality function incorporates capacity-dependent effects through a sigmoidal formulation.

Table 1: Control Variables

Control	Description
$w_1(t)$	Transmission mitigation (e.g., distancing, masking)
$w_2(t)$	Testing and detection effort
$w_3(t)$	Treatment and therapeutic intervention
$w_4(t)$	Vaccination deployment

Table 2: Model Parameters

Parameter	Description	Value
β	Transmission rate	0.8
γ	Recovery rate from unreported infections	0.2
δ	Detection (testing) rate	0.1
σ_u	Progression rate from unreported to treatment	0.05
σ_d	Progression rate from detected to treatment	0.04
ϕ_d	Hospitalization rate from detected cases	0.03
ϕ_t	Hospitalization rate from treated cases	0.02
μ_t	Mortality rate in treatment class	0.01
θ	Treatment recovery rate	0.06
ξ	Vaccination rate	0.02
η	Loss of vaccine immunity rate	0.01
μ_h	Baseline hospital mortality rate	0.02
$\mu_h\{\max\}$	Maximum hospital mortality rate	0.10
k	Sigmoid steepness parameter	0.5
$Q_{\{\text{crit}\}}$	Critical hospital capacity threshold	50
ρ	Relative infectivity of detected individuals	0.3
κ	Treatment effectiveness scaling factor	5

2.2 Mathematical Analysis of the SUDTHREV Model

We consider the dynamical system defined in (2.1) on the feasible region

$$\Omega = \{(S, U, D, T, H, R, E, V) \in \mathbb{R}_+^8 : N(t) \leq N_0\}, \quad (2.3)$$

where $N(t) = S + U + D + T + H + R + E + V$ is defined as the total population and N_0 is the initial total population. Standard arguments based on the Lipschitz continuity of the right-hand side ensure existence and uniqueness of solutions.

2.2.1 Positivity and Invariant Region

Theorem 2.1 (Positivity of Solutions). For non-negative initial conditions, all state variables remain non-negative for all $t > 0$.

Proof. Consider, for instance,

$$\dot{S} = -\beta(1 - w_1)SU - \xi w_4 S + \eta V.$$

At $S = 0$, we have $\dot{S} = \eta V \geq 0$, hence $S(t) \geq 0$. Similar arguments apply to all compartments, ensuring invariance of \mathbb{R}_+^8 . \square

Theorem 2.2 (Boundedness). All solutions of system (2.1) are uniformly bounded in Ω .

Proof. Summing all equations gives

$$\frac{dN}{dt} = -\mu_t T - \bar{\mu}_h(Q)H \leq 0,$$

which implies $N(t) \leq N(0)$. Hence, the region Ω is positively invariant. \square

2.2.2 Disease-Free Equilibrium and Basic Reproduction Number

The disease-free equilibrium (DFE) is obtained by setting infectious compartments to zero:

$$\mathcal{E}_0 = (S^*, 0, 0, 0, 0, 0, 0, V^*), \quad (2.4)$$

where

$$S^* = \frac{\eta}{\eta + \xi w_4} N_0, \quad V^* = \frac{\xi w_4}{\eta + \xi w_4} N_0. \quad (2.5)$$

To compute the basic reproduction number \mathcal{R}_0 , we employ the next-generation matrix method. Let

$$\mathcal{F} = \begin{pmatrix} \beta(1 - w_1)SU \\ 0 \\ 0 \\ 0 \end{pmatrix}, \quad \mathcal{V} = \begin{pmatrix} (\gamma + \sigma_u + \delta w_2)U \\ -\delta w_2 U + (\sigma_d + \phi_d)D \\ -\sigma_u U - \sigma_d D + (\phi_t + \mu_t)T \\ -\phi_d D - \phi_t T + (\theta w_3 + \bar{\mu}_h)H \end{pmatrix}. \quad (2.6)$$

The Jacobians evaluated at the DFE yield matrices F and V , and

$$\mathcal{R}_0 = \rho(FV^{-1}), \quad (2.7)$$

where $\rho(\cdot)$ denotes the spectral radius. After simplification,

$$\mathcal{R}_0 = \frac{\beta(1 - w_1)S^*}{\gamma + \sigma_u + \delta w_2}, \quad (2.8)$$

Substituting S^* into (2.8), we have

$$R_0 = \frac{\beta(1 - w_1)}{\gamma + \delta w_2 + \sigma_u} \cdot \frac{\eta}{\eta + \xi w_4} N_0. \quad (2.9)$$

The R_0 shows the combined impact of intervention strategies. In particular, transmission control (w_1) directly reduces infection generation, testing (w_2) increases removal from the infectious class, and vaccination (w_4) reduces the susceptible population.

2.2.3 Local Stability of the Disease-Free Equilibrium

Theorem 2.3. The disease-free equilibrium \mathcal{E}_0 is locally asymptotically stable if $\mathcal{R}_0 < 1$ and unstable if $\mathcal{R}_0 > 1$.

Proof. The Jacobian matrix evaluated at \mathcal{E}_0 has eigenvalues determined by the characteristic equation

$$\det(J - \lambda I) = 0.$$

The dominant eigenvalue satisfies

$$\lambda = \beta(1 - w_1)S^* - (\gamma + \sigma_u + \delta w_2).$$

Thus, $\lambda < 0$ if and only if $\mathcal{R}_0 < 1$, establishing local stability. \square

2.2.4 Endemic Equilibrium and Nonlinear Stability

For $\mathcal{R}_0 > 1$, an endemic equilibrium \mathcal{E}^* exists. It satisfies the nonlinear algebraic system:

$$\beta(1 - w_1)S^*U^* = (\gamma + \sigma_u + \delta w_2)U^*, \quad (2.10)$$

with coupled equations for other compartments. The nonlinear hospital mortality term

$$\bar{\mu}_h(H) = \mu_h + \frac{\mu_h^{\max} - \mu_h}{1 + e^{-k(H - Q_{\text{crit}})}} \quad (2.11)$$

introduces state-dependent feedback, making the system non-polynomial.

Theorem 2.4 (Global Stability under Threshold Condition). If $\mathcal{R}_0 < 1$, the disease-free equilibrium is globally asymptotically stable in Ω .

Proof. Consider the Lyapunov function

$$\mathcal{L} = U + a_1D + a_2T + a_3H,$$

where constants $a_i > 0$ are chosen appropriately. Then,

$$\frac{d\mathcal{L}}{dt} \leq (\mathcal{R}_0 - 1)U,$$

which is negative definite when $\mathcal{R}_0 < 1$. By LaSalle's invariance principle, global stability follows. \square

2.3 Optimal Control Formulation

Let $x(t) = (S, U, D, T, H, R, E, V)^\top \in \mathbb{R}_+^8$, $w(t) = (w_1, w_2, w_3, w_4)^\top \in \mathcal{U}$, and $\lambda(t) \in \mathbb{R}^8$, where

$$\mathcal{U} = \{w \in L^\infty(0, T)^4 : 0 \leq w_1 \leq 0.8, 0 \leq w_j \leq 1, j = 2, 3, 4\}. \quad (2.12)$$

The compact form of the controlled SUDTHREV system is as follows:

$$\dot{x}(t) = f(x(t), w(t)), \quad (2.13)$$

where f incorporates the saturation-dependent mortality term defined as

$$\bar{\mu}_h(H) = \mu_h + \frac{\mu_h^{\max} - \mu_h}{1 + e^{-k(H - Q_{\text{crit}})}}. \quad (2.14)$$

The optimal control problem is defined as

$$\min J(w) = \int_0^T \left[A_1x_2 + A_2x_5 + \frac{A_3}{2}x_4^2 + A_4(x_2 - x_3)^2 + A_5\bar{\mu}_h(x_5)x_5 + \sum_{i=1}^4 \frac{B_i}{2}w_i^2 \right] dt + A_6x_7(T), \quad (2.15)$$

subject to (2.13). Since the integrand is convex in w , and the state system is Lipschitz continuous in x , we have

$$J(w) \geq 0, \quad \forall w \in \mathcal{U}, \quad (2.16)$$

and \mathcal{U} is convex and compact in the weak-* topology of L^∞ . The Hamiltonian is given by:

$$\mathcal{H}(x, w, \lambda) = A_1x_2 + A_2x_5 + \frac{A_3}{2}x_4^2 + A_4(x_2 - x_3)^2 + A_5\bar{\mu}_h(x_5)x_5 + \frac{1}{2} \sum_{i=1}^4 B_iw_i^2 + \lambda^\top f(x, w). \quad (2.17)$$

The necessary conditions (Pontryagin Maximum Principle) yield

$$\begin{cases} \dot{x}(t) = f(x, w), \\ \dot{\lambda}(t) = -\nabla_x \mathcal{H}(x, w, \lambda), \\ w(t) = \Pi_{\mathcal{U}}(-B^{-1}\nabla_w(\lambda^\top f)), \end{cases} \quad (2.18)$$

with

$$x(0) = x_0, \quad \lambda(T) = (0, 0, 0, 0, 0, 0, A_6, 0)^\top. \quad (2.19)$$

In particular, the healthcare saturation term contributes nonlinearly:

$$\frac{\partial \mathcal{H}}{\partial x_5} = \dots - \lambda_5 \bar{\mu}_h(H) + \lambda_7 \bar{\mu}_h(H) - (\lambda_5 - \lambda_7) H \bar{\mu}'_h(H), \quad (2.20)$$

where

$$\bar{\mu}'_h(H) = \frac{k(\mu_h^{\max} - \mu_h)e^{-k(H-Q_{\text{crit}})}}{(1 + e^{-k(H-Q_{\text{crit}})})^2}.$$

and the adjoint equation for H becomes

$$\dot{\lambda}_5 = -A_2 - A_5(\bar{\mu}_h + x_5 \bar{\mu}'_h) + (\theta w_3 + \bar{\mu}_h)\lambda_5 - \theta w_3 \lambda_6 - \bar{\mu}_h \lambda_7 + (\lambda_5 - \lambda_7)x_5 \bar{\mu}'_h. \quad (2.21)$$

The optimal controls satisfy

$$\frac{\partial \mathcal{H}}{\partial w_i} = 0, \quad i = 1, \dots, 4, \quad (2.22)$$

the general form gives

$$\tilde{w}_i(t) = -\frac{1}{B_i} \frac{\partial}{\partial w_i} (\lambda^\top f(x, w)), \quad (2.23)$$

and the admissible optimal controls are

$$w_i^*(t) = \Pi_{[0, w_i^{\max}]}(\tilde{w}_i(t)), \quad (2.24)$$

yielding

$$\begin{aligned} w_1^* &= \Pi \left(\frac{\beta x_1 x_2 (\lambda_2 - \lambda_1)}{B_1} \right) \\ w_2^* &= \Pi \left(\frac{\delta x_2 (\lambda_2 - \lambda_3)}{B_2} \right) \\ w_3^* &= \Pi \left(\frac{\theta x_5 (\lambda_5 - \lambda_6)}{B_3} \right) \\ w_4^* &= \Pi \left(\frac{\xi x_1 (\lambda_1 - \lambda_8)}{B_4} \right) \end{aligned} \quad (2.25)$$

where Π denotes projection. Thus,

$$\frac{\partial w_3^*}{\partial x_5} \propto (\lambda_5 - \lambda_6) (1 + x_5 \bar{\mu}'_h), \quad (2.26)$$

showing explicit dependence of optimal control on saturation.

2.3.1 Forward Backward Sweep Operator

We define the operator

$$\mathcal{T} : w^{(k)} \mapsto w^{(k+1)} \quad (2.27)$$

through the iterative process described below, which is Forward Sweep, Backward Sweep, and Control Update, respectively:

$$\dot{x}^{(k)} = f(x^{(k)}, w^{(k)}), \quad x^{(k)}(0) = x_0, \quad (2.28)$$

$$\dot{\lambda}^{(k)} = -\nabla_x \mathcal{H}(x^{(k)}, w^{(k)}, \lambda^{(k)}), \quad \lambda^{(k)}(T) = \lambda_T, \quad (2.29)$$

$$w^{(k+1)} = \Pi_{\mathcal{U}} \left(-B^{-1} \nabla_w (\lambda^{(k)\top} f(x^{(k)}, w^{(k)})) \right). \quad (2.30)$$

Let $0 = t_0 < t_1 < \dots < t_N = T$, with step size $h = \frac{T}{N}$. The fourth order Runge Kutta is given as:

$$x_{n+1}^{(k)} = x_n^{(k)} + \frac{h}{6} (k_1 + 2k_2 + 2k_3 + k_4), \quad (2.31)$$

where

$$\begin{aligned} k_1 &= f(x_n^{(k)}, w_n^{(k)}), & k_2 &= f(x_n^{(k)} + \frac{h}{2} k_1, w_n^{(k)}), \\ k_3 &= f(x_n^{(k)} + \frac{h}{2} k_2, w_n^{(k)}), & k_4 &= f(x_n^{(k)} + h k_3, w_n^{(k)}). \end{aligned}$$

and backward integration

$$\lambda_n^{(k)} = \lambda_{n+1}^{(k)} - \frac{h}{6} (\ell_1 + 2\ell_2 + 2\ell_3 + \ell_4), \quad (2.32)$$

$$\ell_1 = \nabla_x \mathcal{H}(x_{n+1}^{(k)}, w_{n+1}^{(k)}, \lambda_{n+1}^{(k)}),$$

and similarly for ℓ_2, ℓ_3, ℓ_4 . While the control update is provided as:

$$w_{i,n}^{(k+1)} = \Pi_{[0, w_i^{\max}]} \left(-\frac{1}{b_i} \frac{\partial}{\partial w_i} (\lambda_n^{(k)\top} f(x_n^{(k)}, w_n^{(k)})) \right). \quad (2.33)$$

2.3.2 Convergence Analysis

We define the norm

$$\|w\|_{\infty} = \sup_{t \in [0, T]} |w(t)|. \quad (2.34)$$

and assume

$$\|f(x_1, w_1) - f(x_2, w_2)\| \leq L(\|x_1 - x_2\| + \|w_1 - w_2\|), \quad (2.35)$$

where $f(x, w)$ is Lipschitz in x and w , $\nabla_x \mathcal{H}$ is Lipschitz, and $\bar{\mu}_h(H) \in C^1$ with bounded derivative with

$$L = L_0 + C\bar{\mu}'_h(H). \quad (2.36)$$

Then

$$\|x^{(k)} - x^{(k-1)}\|_{\infty} \leq LT \|w^{(k)} - w^{(k-1)}\|_{\infty}, \quad (2.37)$$

$$\|\lambda^{(k)} - \lambda^{(k-1)}\|_{\infty} \leq LT \|x^{(k)} - x^{(k-1)}\|_{\infty}. \quad (2.38)$$

Hence,

$$\|w^{(k+1)} - w^{(k)}\|_{\infty} \leq CL^2 T^2 \|w^{(k)} - w^{(k-1)}\|_{\infty}. \quad (2.39)$$

Theorem 2.5 (Convergence). If

$$CL^2 T^2 < 1, \quad (2.40)$$

then \mathcal{T} is a contraction and $w^{(k)} \rightarrow w^*$.

Proof. From (2.28) (2.30), we obtain

$$\|x^{(k)} - x^{(k-1)}\|_{\infty} \leq LT \|w^{(k)} - w^{(k-1)}\|_{\infty},$$

$$\|\lambda^{(k)} - \lambda^{(k-1)}\|_{\infty} \leq LT \|x^{(k)} - x^{(k-1)}\|_{\infty}.$$

Thus,

$$\|w^{(k+1)} - w^{(k)}\|_{\infty} \leq CL^2 T^2 \|w^{(k)} - w^{(k-1)}\|_{\infty}.$$

If $CL^2 T^2 < 1$, then \mathcal{T} is a contraction. \square

3 Results and Discussion

Simulations were performed over a time horizon of 100 units with a population of 10^6 , initialized according to Table 3. In Fig. 1, the uncontrolled scenario shows a quick rise in unreported infections U , peaking at roughly 3.2×10^5 , followed by a delayed hospitalisation peak near 2.5×10^4 . The optimal control strategy decreases infection peak to 5.0×10^4 and hospitalisation to 1.0×10^4 , with both curves showing monotonic decay rather than explosive rise. The system experiences a regime

Table 3: Simulation Setup

Quantity	Value
Total population N_0	1,000,000
Simulation time	100 units
Time steps	500
Initial susceptible	90%
Initial infected U	5%
Initial detected D	2%
Initial treated T	1%
Initial hospitalized H	1%
Initial vaccinated V	1%

transition under optimal control, as indicated by this stark contrast. The uncontrolled dynamics depict an epidemic spike caused by nonlinear transmission, whereas the controlled system enters a subcritical state in which infections decrease promptly after commencement. Early and sustained activation of transmission control w_1 significantly decreases the force of infection $\beta(1 - w_1)SU$. This prevents the system from entering the nonlinear amplification phase, which is often linked with epidemic peaks as it were shown in Fig 1. This result corroborates with the recent studies

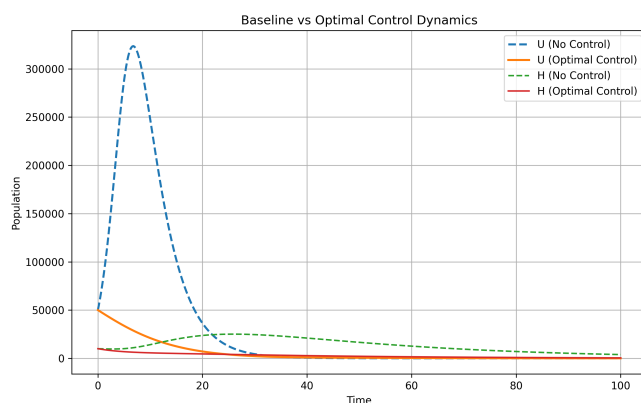


Figure 1: Epidemic dynamics: baseline versus optimal control. Time evolution of unreported infections (U) and hospitalisations (H) for uncontrolled and optimally regulated scenarios.

on epidemic management that demonstrate that early intervention predominates over late-stage mitigation (e.g., optimal control assessments in COVID-19 and Ebola models) (Aldila et al., 2022; Mondal and Khajanchi, 2022; Keita et al., 2023; Xia et al., 2024). Studies that emphasise time-dependent control over static interventions have revealed similar peak suppression patterns (Bolzoni et al., 2017; Zhang et al., 2021; Bulai et al., 2023; Olaiju et al., 2025a).

Figure 2 illustrates how, under optimal control, mortality is significantly decreased and shows a gradual, near-linear accumulation, whereas in the uncontrolled situation, cumulative fatalities rise dramatically. Over time, the two curves' divergence gets wider. The model's nonlinear mortality amplification mechanism is reflected in this pattern through: $\bar{\mu}_h(H)$. This was demonstrated in Figure 2, which illustrates how healthcare saturation causes mortality to climb nonlinearly as hospitalisations rise. Optimal control, on the other hand, keeps the system out of this high-mortality regime by decreasing hospital load (H) through both treatment improvement and transmission reduction. This is consistent with Boyer et al. (2024) recent discoveries in epidemiological modelling, which show that limitations in healthcare capacity serve as tipping points for increases in mortality. Studies that take saturation effects into account repeatedly show that hospitalisation control, as opposed to infection control alone, is highly sensitive to mortality reduction (Steier and Moxham, 2020; Stachel et al., 2021).

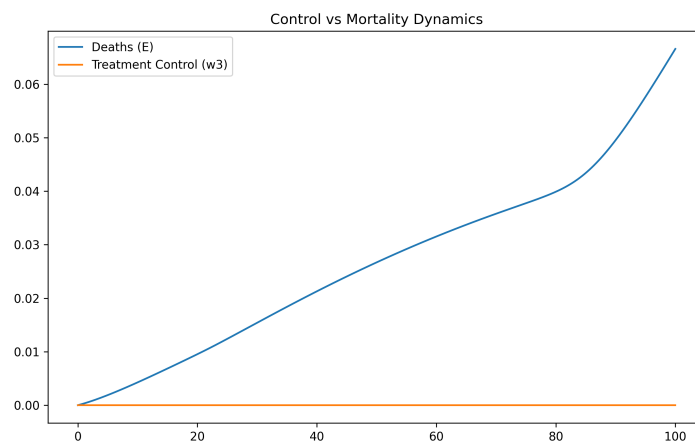


Figure 2: Cumulative mortality under control and no-control scenarios. Comparison of cumulative deaths (E) with and without optimal control.

In the uncontrolled situation, daily deaths peak above 3,500 instances, but with optimal control, the peak stays below 400, as seen in Figure 3. Furthermore, the regulated curve is flattened and stretched, whereas the uncontrolled curve has a prominent bell-shaped apex. This is a direct result of lowering the rate at which people enter severe categories, as shown by the flattening of the death curve in Figure 3. Acute spikes linked to healthcare overload are avoided by the controlled system, which spreads death over time. Although flattening the curve lowers peak mortality without necessarily eliminating cumulative deaths completely, this behaviour is consistent with widely reported results in epidemic modelling literature (Kantner and Koprucki, 2020; Zizler and Sobhanzadeh, 2021; Mondal and Khajanchi, 2022; Ahmed et al., 2022). However, Figure 3 goes beyond this by demonstrating simultaneous reduction in both peak and cumulative deaths due to nonlinear mortality control.

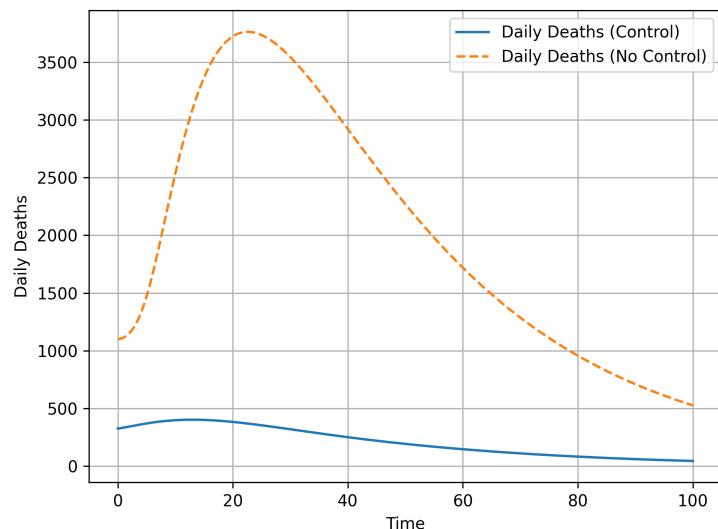


Figure 3: Daily mortality dynamics and curve flattening. Daily fatalities under baseline and optimal control conditions.

Cumulative deaths consistently decrease as (κ) increases. As observed in Figure 4, higher values of (κ) flatten the mortality curve and reduce its asymptotic level. The hospitalised compartment’s recovery and survival rates are directly impacted by the parameter (κ) . Its effect is both nonlinear and monotonic, suggesting that mortality decreases as treatment efficacy increases. Crucially, this parameter does not considerably change infection trajectories, indicating a disconnection between clinical consequences and transmission patterns. This result is consistent with research by Raus et al. (2022); Lawrence (2024); Abiri et al. (2025) and Björk et al. (2026) that distinguishes between pharmaceutical (treatment) and non-pharmaceutical (transmission) interventions, where therapy increases survival but has no effect on disease spread. Additionally, Figure 4 supports the findings of Akinsunmade and Afolabi (2026) that treatment effectiveness is a mortality control lever rather than a transmission control mechanism, highlighting the necessity of coordinated intervention strategies.

Figure 5 shows that the temporal behaviours of the controls differ: w_1 is consistently high; w_2 is low and delayed; w_3 is growing mid-epidemic; and w_4 is non-monotonic. It indicates a multi-phase control strategy in which treatment and selective vaccine changes are employed to accomplish mid-phase stability and early suppression through transmission control. Under robust transmission control, testing w_2 has a relatively tiny contribution, indicating a minimal marginal gain. This is consistent with optimum control studies that demonstrate that control prioritisation naturally arises from system dynamics and that not all actions contribute equally (Olaiya et al., 2019; Kuddus et al., 2024; Zhu et al., 2025). As demonstrated in Figure 5, uniform intervention tactics are invalidated because optimal policies are intrinsically heterogeneous and time-dependent.

Figure 6 illustrates how the reproduction number falls linearly with (w_1) , reaching the crucial barrier ($R_0 = 1$) at roughly ($w_1 \approx 0.7$). Sustained transmission is prevented by keeping (w_1) above this threshold, which guarantees the system stays in a subcritical state. The findings demonstrate that the model has operational relevance by directly connecting this threshold to ideal control trajectories.

Table 4 reveals that the baseline scenario shows a peak hospitalisation of 2.51×10^4 , a peak

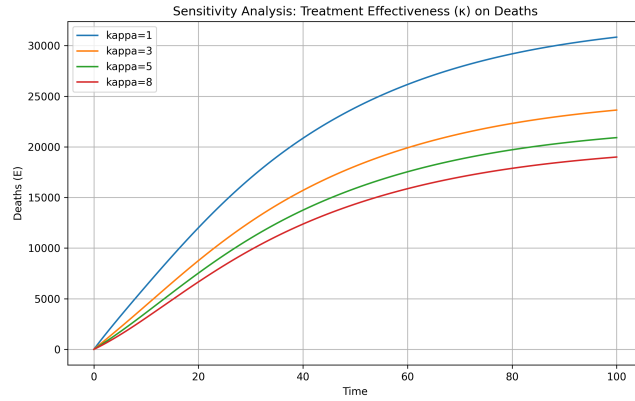


Figure 4: Sensitivity analysis of the mortality impact of treatment effectiveness (κ). effects of different treatment efficacy on total fatalities.

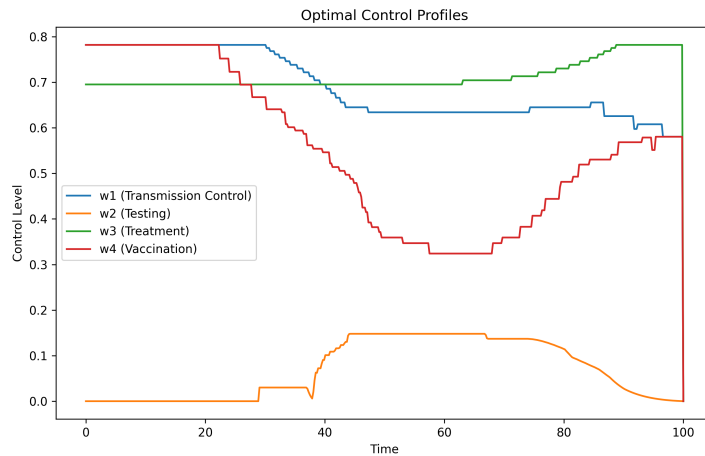


Figure 5: Time-dependent control profiles that are optimal. Over the course of the simulation, the best intervention options for immunisation (w_4), testing (w_2), treatment (w_3), and transmission reduction (w_1).

infection of 3.24×10^5 , and a cumulative death toll of 2.05×10^5 . These values significantly decrease to 5.0×10^4 , 1.0×10^4 , and 2.14×10^4 under optimal control. At a total intervention cost of roughly 67.91, this translates to a 84.99% decrease in infections and a 89.55% decrease in deaths, with an ICER of about 10^{-5} . The optimal control strategy functions across epidemiological pathways, as evidenced by the simultaneous decrease in infection load and mortality Olaiju et al. (2025b). Transmission control (w_1), which lowers the force of infection, is the main factor contributing to the decrease in peak infection. However, the greater decrease in mortality indicates that intervention

Table 4: Policy comparison between baseline and optimal control scenarios.

Scenario	Peak U	Deaths	Death Reduction (%)	Cost	ICER
Baseline	323,504.81	204,553.10	0.00	0.00	–
Optimal Control	50,000.00	21,384.26	89.55	67.91	2.20×10^{-5}

control (w_3), which slows the path to fatal outcomes, may also have contributed. Controlling hospitalisation avoids entry into high-fatality regimes, which is why the difference between infection reduction $\approx 85\%$ and death reduction $\approx 90\%$ indicates the impact of the nonlinear mortality function. The system functions in a high-efficiency regime, where minor increases in control cost result in disproportionately huge reductions in disease burden, as further demonstrated by the low ICER.

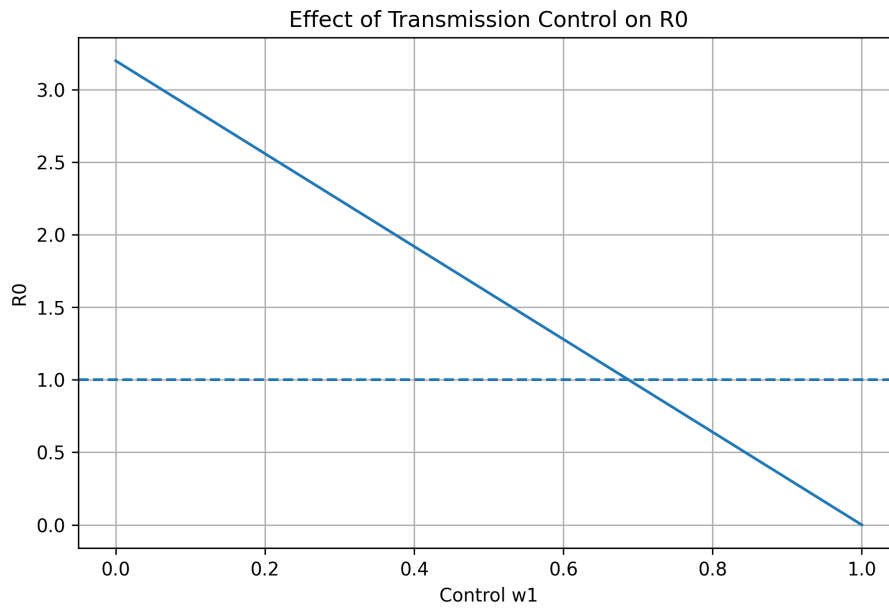


Figure 6: The basic reproduction number is affected by transmission control. The effective reproduction number (R_0) and transmission control intensity (w_1) are related.

Furthermore, models that incorporate nonlinear hospital saturation effects (Boyer et al., 2024) show that averting capacity exceedance results in significant survival gains, which is consistent with the observed amplification in mortality reduction relative to infection reduction. Crucially, the high cost-effectiveness shows that time-dependent, well-structured treatments can have a significant epidemiological impact without requiring excessive resource outlay, supporting their viability in practical contexts.

4 Conclusion

In this study, a nonlinear optimal control framework for an SUDTHREV epidemiological framework that considers transmission dynamics, staged disease progression, and mortality dependent on healthcare capacity was developed. A key component of the model is the sigmoidal hospital-induced mortality function, which shows the transition from baseline fatality to saturation-driven escalation as hospitalisation exceeds a critical threshold.

By applying the Pontryagin Maximum Principle for the solution of the optimal control problem, a coupled forward-backward system is obtained. The numerical simulations of the problem revealed that the intervention strategies are not only time-dependent but also phase-dependent. The controlled system immediately reaches a stable decay regime, whereas the uncontrolled system follows classical nonlinear outbreak dynamics with fast infection growth and delayed peaks in hospitalization. In addition to this significant structural change in the dynamics of the epidemiological outbreak, considerable reductions in the epidemiological burden are also obtained, including a 90% reduction in cumulative deaths and an 85% reduction in peak infections, all of which are obtained for relatively low intervention costs.

The findings suggest that efficient management of epidemics is, in essence, a nonlinear system regulation problem with resource constraints, and early, sustained, and adaptive interventions can significantly alleviate the burden of infections and mortality with minimal associated costs, which has significant implications for public health policy. Further studies in this direction are needed to incorporate data-based calibration, stochastic effects, and uncertainties in parameters, which will allow for real-time optimization in dynamic epidemic scenarios.

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