

Original Research Article

## Unit Regular Elements on the Space of Transformations

**Abstract.** This paper presents a characterization of unit regular elements of the set of all transformations defined on a set. Also, we analyze unit regular elements of the space of continuous transformations on a topological space.

**KEY WORDS:** Semigroup, Regular element, Monoid, Unit regular transformation.

### 1 Introduction

The concept of regularity in ring theory was introduced by von Neumann [1] to clarify certain aspects of operator algebras. A stronger form of regularity, namely unit regularity, was introduced by Ehlich [2] as a finiteness condition on rings. In fact, these concepts are essentially of the domain of semigroup theory, and regularity, especially, is extensively studied as a semigroup theoretic notion [3,4]. In recent years, transformation semigroups have been used to model dynamic systems and non-invertible processes due to the rapid development in computational algebra [5,6]. They are semigroup analogues of permutation groups, representing irreversible state changes in automata, mapping structure in algebras. In this paper, we consider unit regular elements in the space of transformations defined on an arbitrary set. Also, we give a characterization of unit regular elements among the continuous transformations defined on topological spaces.

When we compare group structure with the structure present in general semigroups they lack smooth and neat properties like existence of inverse and identity. It is in this context that we search for regions in the semigroup space where elements behave nearly like invertible elements, helping us to use group-theoretic tools in semigroups. With this background, we define regular elements in monoids (semigroups with identity).

## 2 Preliminary

An element  $t$  in a monoid  $S$  is said to be a *regular element* if  $t = tt't$  for some  $t' \in S$ . An element  $t$  in  $S$  is said to be *unit regular* if  $t = tut$  for some invertible element  $u \in S$ . A monoid in which each element is a regular element (unit regular element) is called *regular semigroup* (*unit regular semigroup*). We use the notation  $T(X)$  to represent the set of all transformations from the set  $X$  to itself and the notation  $R(t)$  to represent the range of  $t \in T(X)$  given by  $R(t) = \{y \in X : y = t(x), x \in X\}$  and kernel of transformation  $t \in T(X)$  is defined by  $\ker t = \{(x, x') : t(x) = t(x')\}$ . The relation  $\rho_t$  defined on  $X$  by  $x \rho_t x'$  if and only if  $t(x) = t(x')$  is an equivalence relation on  $X$  and it partitions  $X$  into disjoint equivalent classes  $\rho_t(x) = \{x' \in X : t(x) = t(x')\}$ . The family of equivalence classes of the equivalence relation  $\rho$  is denoted by  $X/\rho$ . By a *cross section* of an equivalence relation  $\rho$  we mean a subset  $X_0$  of  $X$  which contains exactly one element from each equivalence class of the equivalence relation. That is,  $X_0 \cap \rho(x)$  is a singleton set. Note that the cardinality  $|X_0|$  of the cross section  $X_0$  is same as the cardinality of the family of equivalence classes  $X/\rho$ . We will be making use of the following results as and when needed.

**Theorem 2.1.** *Let  $t : X \rightarrow Y$  be a mapping, where  $X$  and  $Y$  are non-empty sets and  $\rho = \ker t$ . Let  $\pi : X \rightarrow X/\rho$  be the natural projection defined by  $\pi(x) = \rho(x)$ . Then  $\phi : X/\rho \rightarrow Y$  defined by  $\phi(\rho(x)) = t(x)$  is an injection with  $\phi\pi = t$ .*

**Theorem 2.2.** *Let  $\rho$  be an equivalence relation on  $X$  and  $X_0$  be a cross section of  $\rho$ . Let  $\phi : X/\rho \rightarrow X_0$  be the associated choice function and  $\pi : X \rightarrow X/\rho$  be the projection defined by  $\pi(x) = \rho(x)$ . Then  $\pi\phi$  is the identity function on  $X/\rho$  and  $e = \phi\pi : X \rightarrow X$  is an idempotent mapping.*

**Theorem 2.3.** *If  $e : X \rightarrow X$  is an idempotent mapping, then range of  $e$ ,  $R(e)$ , is a cross section of  $\ker e$ . Moreover, if  $\phi : X/\rho \rightarrow R(e)$  is the associated choice function and  $\pi : X \rightarrow X/\rho$  is natural projection, then  $\phi\pi = e$ .*

Let  $X$  be a topological space,  $Y$  be a set and  $t : X \rightarrow Y$  be a surjective mapping. Then the collection  $\{H \subseteq Y : t^{-1}(H) \text{ is open in } X\}$  is a topology on  $Y$  called the *quotient topology induced by  $t$* . The space  $Y$  with this topology is called *quotient space* and the mapping  $t$  is called *quotient mapping* [7]. Let  $\rho$  be an equivalence relation on  $X$ . By a quotient topology on  $X/\rho$ , we mean the quotient topology induced by the natural projection  $\pi : X \rightarrow X/\rho$  defined by  $\pi(x) = \rho(x)$ . A cross section  $X_0$  of an equivalence relation  $\rho$  is called a continuous cross section of  $\rho$  if the choice function  $\phi : X/\rho \rightarrow X_0$  is continuous with respect to the quotient topology on  $X/\rho$ . The following theorems are continuous analogues of theorems 2.2 and 2.3.

**Theorem 2.4.** *Let  $\rho$  be an equivalence relation on a topological space  $X$  and  $X_0$  be a cross section of  $\rho$ . Let  $\phi : X/\rho \rightarrow X_0$  be the associated choice function and*

$\pi : X \rightarrow X/\rho$  be the projection defined by  $\pi(x) = \rho(x)$ . Then  $e = \phi\pi : X \rightarrow X$  is a continuous idempotent mapping.

**Theorem 2.5.** *If  $e : X \rightarrow X$  be a continuous idempotent mapping, then range of  $e$ ,  $R(e)$ , is a continuous cross section of  $\ker e$ .*

A subspace  $Y$  of a topological space  $X$  is called a *retract* of  $X$  if there exists a continuous function  $t : X \rightarrow Y$  whose restriction to  $Y$  is the identity function on  $Y$ . That is, if a topological space  $X$  has a subspace  $Y$ , which is a retract of  $X$ , then the unique identity mapping  $i_Y : Y \rightarrow Y$  can be extended continuously to the function  $t : X \rightarrow Y$ . In this situation, the extended continuous mapping  $t$  is called a retraction on  $X$ .

### 3 Unit Regular Transformations

The set  $T(X)$  of all transformations from  $X$  to itself is a regular monoid [3], where  $X$  is non-empty set. But  $T(X)$  is not, in general, a unit regular semigroup. We prove the following characterization theorem of unit regular elements of  $T(X)$ .

**Theorem 3.1.** *An element  $t \in T(X)$  is unit regular if and only if there exists a cross section  $X_0$  of kernel of  $t$  ( $\ker t$ ) in  $X$  with  $|X - X_0| = |X - R(t)|$ .*

Proof:

Assume that  $t \in T(X)$  is a unit regular element. Then we can find a bijective mapping  $u : X \rightarrow X$  with  $ut = t$ . Here  $e = ut$  is an idempotent mapping from  $X$  to itself. We claim that  $X_0 = R(e)$  is a cross section of  $\rho = \ker t$ . That is, we will prove that  $X \cap \rho(x)$  is a singleton set for each  $x \in X$ . Let  $x_0$  and  $y_0$  be elements of  $X_0 \cap \rho(x)$ . Since  $\rho(x)$  is an equivalence class from the equivalence relation  $\rho$  defined by  $t(x) = t(y)$ , we get that  $t(x_0) = t(x) = t(y_0)$ . Since  $e = ut$  is an idempotent mapping,  $e$  restricted to  $X_0 = R(e)$  is the identity mapping and so

$$x_0 = e(x_0) = ut(x_0) = ut(y_0) = e(y_0) = y_0$$

To prove that  $|X - X_0| = |X - R(t)|$ , first observe that the restriction  $u_0$  of  $u$  to  $R(t)$  is a bijection of  $R(t)$  onto  $X_0$ .

Let  $y \in R(t)$ . Then  $y = t(x)$  for some  $x \in X$ . Note that

$$u_0(y) = u_0(t(x)) = u_0t(x) = ut(x) = e(x)$$

and so  $u_0 : R(t) \rightarrow X_0$ .

To prove that  $u_0$  is a surjective mapping, let  $x_0 \in X_0$  and  $y_0 = t(x_0)$  and so  $y_0 \in R(t)$ . Since  $e$  is identity function on  $X_0$ , we have  $u_0(y_0) = u(y_0) = u(t(x_0)) = ut(x_0) = e(x_0) = x_0$ . Again, since the function  $u$  is an injective function, its

restriction  $u_0$  is also an injective function. Hence  $u_0 : R(t) \rightarrow X_0$  is a bijective function.

Since  $u$  is a bijective function from  $X$  onto itself and  $u_0$  is a bijection from  $R(t)$  onto  $X_0$ , we see that the function  $u$  restricted to  $X - R(t)$  is a bijection of  $X - R(t)$  onto  $X - X_0$ . Hence  $|X - X_0| = |X - R(t)|$ .

Conversely, assume that there exists a cross section  $X_0$  of the equivalence relation  $\rho = \ker t$  defined by  $x \rho y$  if and only if  $t(x) = t(y)$  with  $|X - X_0| = |X - R(t)|$  for  $t \in T(X)$ . We shall prove that  $t$  is a unit regular mapping.

Since  $|X - X_0| = |X - R(t)|$ , we can define a bijective mapping  $u_0$  from  $X - X_0$  onto  $X - R(t)$ . Next, we shall prove that  $t_0 = t|_{X_0}$ , the restricted map of  $t$  to  $X_0$  is a bijective mapping. To prove injection, let  $x_0$  and  $y_0$  be the elements of  $X_0$  with  $t(x_0) = t(y_0)$ . That is,

$$x_0, y_0 \in X_0 \cap \rho(x_0) = X_0 \cap \rho(y_0)$$

Since  $X_0$  is a cross section of the equivalence relation  $\rho$ , we get that  $x_0 = y_0$ . Hence,  $t_0$  is an injective mapping from  $X_0$  to  $R(t)$ . To prove that  $t_0$  is a surjective mapping onto  $R(t)$ , let  $y_0 \in R(t)$ . Then  $y_0 = t(x)$  for some  $x \in X$ . Since  $X_0$  is a cross section of the equivalence relation  $\rho$ ,  $X_0 \cap \rho(x) = \{x_0\}$ , a singleton set. Note that  $t(x_0) = t(x)$  and  $y_0 = t(x) = t(x_0) = t_0(x_0)$ . Thus  $t_0$  is a surjective mapping and hence it is a bijective mapping from  $X_0$  onto  $R(t)$ .

Now, we define a mapping  $u : X \rightarrow X$  by

$$u(x) = \begin{cases} t_0^{-1}(x) & \text{if } x \in R(t) \\ u_0(x) & \text{if } x \notin R(t) \end{cases}$$

By construction, the mapping  $u$  is a bijection. Let  $x \in X$  and  $y = t(x)$ . Since  $y \in R(t)$ , by definition of  $u$ ,  $u(y) = t_0^{-1}(y)$  and  $t_0^{-1}(y) \in X_0$  so that

$$tut(x) = tu(t(x)) = tu(y) = t(u(y)) = t(t_0^{-1}(y)) = t_0(t_0^{-1}(y)) = t_0 t_0^{-1}(y) = y = t(x)$$

That is,  $tut(x) = t(x)$ . Hence  $t$  is a unit regular mapping. □

As an immediate consequence, we get the following result.

**Corollary 3.1.** *An injective (surjective) map which is not surjective (injective) is not unit regular.*

**Proof:**

In the first case, let  $t \in T(X)$  be an injective mapping but not surjection. Since  $t$  is not surjective,  $R(t) \neq X$  and so  $|X - R(t)| \neq 0$ .

But, since  $t$  is an injective mapping, each equivalence class corresponding to  $\ker t$  contains a single element and so the only cross section  $X_0$  of  $\ker t$  is the whole set  $X$ . Then  $|X - X_0| = 0$ .

That is, there exists no cross section  $X_0$  of  $\ker t$  with  $|X - X_0| = |X - R(t)|$ . So by theorem 3.1,  $t$  is not a unit regular element of  $T(X)$ .

In the second case, let  $t \in T(X)$  be a surjective mapping which is not an injective mapping. Then,  $|X - R(t)| = 0$ .

Since  $t$  is not injective mapping, there will be at least one equivalence class of  $\rho = \ker t$  which contains more than one element of  $X$ . Note that any cross section  $X_0$  of  $\ker t$  contains exactly one element in  $X_0 \cap \rho(x)$  for each  $x \in X$ , it follows that any cross section  $X_0$  of  $\ker t$  is a proper subset of  $X$  and so  $|X - X_0| \neq 0$ . Since  $t$  is surjective mapping  $R(t) = X$  and so  $|X - R(t)| = 0$ . That is, there exists no cross section  $X_0$  of  $\ker t$  with  $|X - X_0| = |X - R(t)|$ . So by theorem 3.1,  $t$  is not a unit regular element of  $T(X)$ .

Now we describe a sub-family of elements of  $T(X)$  in which each element is a unit regular element but the sub-family is not a unit regular semigroup.

**Theorem 3.2.** *Let  $X$  be a non-empty set and  $F = \{t \in T(X) : |R(t)| < \infty\}$ . Then each element of  $F$  is a unit regular element of  $T(X)$ .*

Proof:

Let  $t \in F$ . Then  $t \in T(X)$  and  $|R(t)| = m < \infty$ . The equivalence relation  $\rho$  defined by  $x \rho y$  if and only if  $t(x) = t(y)$  has only a finite number  $m$  of equivalence classes. This implies that any cross section  $X_0$  of the equivalence classes contains  $m$  number of elements. That is,  $|X_0| = m = |R(t)|$ . Now we prove the result in two cases.

In the first case, consider  $X$  as a finite set,  $|X| = n$ . Since  $|X_0| = m = |R(t)|$ ,  $|X - X_0| = n - m = |X - R(t)|$ . Hence by theorem 3.1,  $t$  is a unit regular element.

In the second case, consider  $X$  as an infinite set. Since  $X_0$  and  $R(t)$  are finite sets and  $X$  is an infinite set,  $|X - X_0| = |X| = |X - R(t)|$ . Hence by theorem 3.1,  $t$  is a unit regular element.

Using the above theorem, we give an alternate proof [8] for the following

**Theorem 3.3.** *Let  $X$  be non-empty set. The set  $T(X)$  of all transformations on  $X$  is a unit regular semigroup if and only if  $X$  is a finite set.*

Proof:

Assume that  $X$  is a finite set and  $t \in T(X)$ . Then  $|R(t)| < \infty$  and so by theorem 3.1,  $t$  is unit regular element. Since  $T(X)$  is semigroup under composition of functions and also every element of  $T(X)$  is unit regular, it is a unit regular semigroup.

Conversely, assume that  $T(X)$  is a unit regular semigroup, where  $X$  is a non-empty set. If possible, let  $X$  be an infinite set and  $A$  be a finite non-empty subset of  $X$ . Since  $X$  is infinite,  $|X - A| = |X|$ . So we can define a bijective mapping  $t : X \rightarrow X - A$ . Then  $t : X \rightarrow X$  is injective function but not a surjection and so

$t$  can not be a unit regular mapping, by corollary 3.1. Hence  $X$  must be a finite set.

#### 4 Continuous Unit Regular Transformations

In this section, we provide a characterization of unit regular elements in the semigroup of all continuous transformations from a topological space  $X$  to itself. We use the notation  $CT(X)$  to denote the semigroup of all continuous transformations from a topological space  $X$  to itself. In general,  $CT(X)$  is not a regular semigroup. We need the following results to characterize unit regular elements of  $CT(X)$ .

**Lemma 4.1.** *Every continuous cross section of an equivalence relation on a Hausdorff space is a closed set.*

Proof:

Let  $X_0$  be a continuous cross section of an equivalence relation  $\rho$  on Hausdorff space  $X$ . Since  $X_0$  is a continuous cross section of  $\rho$ , the choice function  $\phi : X/\rho \rightarrow X_0$  defined by  $\phi(x) = \rho(x)$  is continuous under the quotient topology on  $X/\rho$ ,  $\rho(x)$  is the equivalence class containing  $X$ . Let  $\pi : X \rightarrow X/\rho$  defined by  $\pi(x) = \rho(x)$  be natural projection. Then  $e = \phi\pi$  is an idempotent mapping on  $X$  by theorem 2.2. Since  $\phi$  and  $\pi$  are continuous  $e = \phi\pi$  is also continuous. Observe that

$$e(X) = \phi(\pi(X)) = \phi(X/\rho) = X_0$$

We claim that  $X_0$  is a closed set in  $X$ . Let  $(x_\lambda : \lambda \in \Lambda)$  be a net in  $X_0$  which converges to  $x \in X$ , where  $\Lambda$  is a directed set. Since  $e : X \rightarrow X_0$  is continuous, the net  $(e[x_\lambda] : \lambda \in \Lambda)$  in  $X_0$  converges to  $e(x)$  in  $X$ . Note that  $e(x_\lambda) = x_\lambda$  as  $e$  restricted to  $X_0$  is identity mapping on  $X_0$ . Also, note that  $e(x) \in X_0$ . That is, the net  $(x_\lambda : \lambda \in \Lambda) = (e[x_\lambda] : \lambda \in \Lambda)$  converges to  $x \in X$  and  $e(x) \in X_0 \subset X$ . Since  $X$  is a Hausdorff space,  $e(x) = x$  and so  $x \in X_0$ . Hence  $X_0$  is closed subset of  $X$  as every convergent net in  $X_0$  converges in  $X_0$  itself.

The following theorem is a characterization of regular elements in  $CT(X)$  given in [9].

**Theorem 4.1.** *Let  $X$  be a topological space and  $t \in CT(X)$ . Then  $t$  is regular if and only if the following conditions are satisfied:*

1.  $t$  is a quotient map onto  $R(t)$
2.  $R(t)$  is a retract of  $X$
3.  $\ker t$  has a continuous cross section

Now we shall give a characterization of unit regular elements of  $CT(X)$

**Theorem 4.2.** *Let  $X$  be a Hausdorff space and  $t \in CT(X)$ . Then  $t$  is unit regular if and only if the following conditions are satisfied:*

1.  $R(t)$  is closed
2.  $t$  is a quotient map onto  $R(t)$
3. there exists a continuous cross section  $X_0$  of  $\ker t$  and a homeomorphism from  $\overline{X - X_0}$  to  $\overline{X - R(t)}$  which coincides with  $t$  on the boundary of  $X_0$ .

Proof:

Assume that  $t \in CT(X)$  is a unit regular element. Then  $t$  is a regular element and so  $R(t)$  is a retract of  $X$  and  $t$  is a quotient map onto  $R(t)$  by theorem 4.1. Since  $X$  is a Hausdorff space,  $R(t)$  is closed. By definition of unit regularity there exists a homeomorphism  $u : X \rightarrow X$  such that  $tut = t$ . Then  $e = ut$  is a continuous idempotent map from  $X$  to  $X$  and  $X_0 = R(e)$  is a continuous cross section of  $\ker e$  by theorem 2.5. Also,

$$\begin{aligned} (x, y) \in \ker e &\iff e(x) = e(y) \\ &\iff ut(x) = ut(y) \\ &\iff t(x) = t(y) \\ &\iff (x, y) \in \ker t \end{aligned}$$

This shows that  $\ker e = \ker t$  and so  $X_0$  is a continuous cross section of  $\ker t$ . Since  $u$  is a homeomorphism,

$$\begin{aligned} u(\overline{X - R(t)}) &= \overline{u(X - R(t))} \\ &= \overline{(u(X) - u(R(t)))} \\ &= \overline{(X - R(ut))} \\ &= \overline{X - R(e)} \\ &= \overline{X - X_0} \end{aligned}$$

Therefore,  $u$  maps  $\overline{X - R(t)}$  homeomorphically onto  $\overline{X - X_0}$ . Hence  $u^{-1}$  maps  $\overline{X - X_0}$  homeomorphically onto  $\overline{X - R(t)}$ . If  $bd(X)$  is the boundary of the set  $X$ , then:

$$bd(X_0) = \overline{X_0} \cap \overline{X - X_0} = X_0 \cap \overline{X - X_0}$$

as  $X_0$  is closed, by lemma 4.1. Since  $bd(X_0) \subset X_0$ , and if  $x \in bd(X_0)$ , then:

$$ut(x) = e(x) = x$$

So  $t(x) = u^{-1}(x)$ . That is, the mapping  $t$  and the homeomorphism  $u^{-1}$  coincides on the boundary of  $X_0$ .

Conversely, assume that  $t$  satisfies the conditions (1), (2), and (3) of the theorem 4.2. Let  $u_0 : \overline{X - X_0} \rightarrow \overline{X - R(t)}$  which coincides with  $t$  on  $bd(X_0)$  and  $t_0 = t|_{X_0}$ . Then  $t_0$  is a bijection from  $X_0$  onto  $R(t)$ , by theorem 3.1.

Let  $\rho = \ker t$  and  $\phi : X/\rho \rightarrow X_0$  be the choice function and  $\pi : X \rightarrow X/\rho$  be the projection mapping. Then  $e = \phi\pi$  is a continuous idempotent map from  $X$  to itself. So for each  $x \in X$ ,  $e(x) = x_0$ , where  $x_0$  is the unique element in  $\rho(x) \cap X_0$ . Also, we have

$$t_0 e(x) = t_0(x_0) = t(x_0) = t(x)$$

as  $x_0 \in \rho(x)$ . Thus  $e(x) = t_0^{-1}t(x)$  for each  $x \in X$  and so  $e = t_0^{-1}t$ . Since  $e$  is continuous and  $t$  is a quotient map, it follows that  $t_0^{-1}$  is continuous. Hence  $t_0$  is a homeomorphism of  $X_0$  onto  $R(t)$ .

Define  $v : X \rightarrow X$  by

$$v(x) = \begin{cases} u_0(x) & \text{if } x \in \overline{X - X_0} \\ t_0(x) & \text{if } x \in \overline{X_0} = X_0 \end{cases}$$

Since  $u_0$  and  $t$  coincide on the  $bd(X_0)$ , the function  $v$  is a bijection and it is continuous by the pasting lemma.

Now we claim that  $u_0^{-1}$  coincides with  $t_0^{-1}$  on the boundary of  $R(t)$ .

Let  $y \in bd(R(t)) = \overline{R(t)} \cap \overline{X - R(t)}$ . Since  $R(t)$  is closed,  $\overline{R(t)} = R(t)$  and so  $y = t_0(x)$  for some  $x \in X_0$ . Also, as  $y \in \overline{X - R(t)}$ ,  $y = u_0(t)$  for some  $t \in \overline{X - X_0}$ . Now  $x \in X_0$  and  $t \in \overline{X - X_0}$  implies  $v(x) = v(t)$ . Since  $v$  is an injective function, we get  $x = t$ . That is,  $t_0^{-1}(y) = x = t = u_0^{-1}(y)$ . Thus, the claim is proved.

So, the mapping  $u : X \rightarrow X$  defined by

$$u(x) = \begin{cases} u_0^{-1}(x) & \text{if } x \in \overline{X - R(t)} \\ t_0^{-1}(x) & \text{if } x \in \overline{R(t)} = R(t) \end{cases}$$

is a bijection and is continuous by the pasting lemma. Hence  $u$  is a homeomorphism. Finally we prove that  $tut = t$ . Let  $x \in X$ .

Then, as  $y = t(x) \in R(t)$ ,  $tut(x) = tu(y) = tt_0^{-1}(y)$ .

Now  $tt_0^{-1}(y) = t(t_0^{-1}(y)) = t_0(t_0^{-1}(y)) = y$  as  $t_0^{-1}(y) \in X_0$ .

Thus  $tut(x) = y = t(x)$  for all  $x \in X$ . That is,  $t$  is a unit regular mapping.

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