

A Note on Norm-Attaining Properties for Frame Operators.

Abstract

This paper examines the relationship between frame operators, dual frames, and norm attainability in Hilbert spaces. Frames are basis-like systems that span a vector space while allowing linear dependency, enabling the achievement of desirable properties not available with orthonormal bases. Frames provide redundant and stable vector representations, which are particularly valuable in signal processing applications. Norm attainability refers to the condition where a frame operator acts as a scalar multiple of a vector. This study explores how frame bounds, redundancy, and tightness influence the norm-attaining properties of frame operators and their duals. Theoretical results are developed to enhance the understanding of norm-attaining operators and offer insights into designing frames with optimal properties for practical applications, especially in signal processing.

keywords{Norm Attainability, Frame Operators, Dual Frames, Tight Frames}

Introduction

In the theory of Hilbert spaces, frames provide a powerful generalization of orthonormal bases, offering a way to decompose elements of a Hilbert space into a linear combination of frame elements with potential redundancy[1,4,6]. This redundancy enables frames to provide more robust representations, particularly in applications like signal processing, where data recovery and noise resilience are crucial. The frame operator, which maps a vector to its representation in terms of frame elements, plays a pivotal role in the analysis of frames[2,5,9,18].

It encapsulates the geometric structure of the frame and is essential for understanding the stability and convergence of frame expansions. A central concept in the study of frame operators is *norm attainability*, which concerns the conditions under which the frame operator attains its norm, that is, when there exists a vector such that the operator acts as a scalar multiple of that vector [3,7,8,11,20]. Norm-attaining operators are significant because they help to characterize the behavior of the frame, and this concept is directly linked to frame bounds, redundancy, and the interplay between a frame and its dual. In particular, dual frames are of interest, as they allow for the reconstruction of vectors from their frame coefficients, and their role in norm-attaining behavior is critical to the broader theory of frames [10, 13, 15,17]. This paper aims to explore the connections between *frame operators*, *dual frames*, and *norm attainability* in the context of Hilbert spaces. We will investigate how the properties of frame bounds, redundancy, and tightness influence the norm-attaining nature of frame operators and their duals [11,12,14,19]. Additionally, we aim to establish key results, theorems, and propositions that further the understanding of norm-attaining operators in the context of frames. The findings presented here are not only theoretical but also have practical implications in fields such as signal processing, where frames are used to represent data in redundant and robust ways.

Mathematical Preliminaries

To proceed with the investigation, we begin by recalling some fundamental concepts from the theory of frames and Hilbert spaces. A *Hilbert space* \mathcal{H} is a complete inner product space, i.e., it is a vector space equipped with an inner product such that every Cauchy sequence converges in the space. A *frame* $\mathcal{F} = \{f_i\}_{i \in I}$ for a Hilbert space \mathcal{H} is a collection of vectors such that there exist constants $A, B > 0$ (called the frame bounds) satisfying the condition

$$A\|x\|^2 \leq \sum_{i \in I} |\langle x, f_i \rangle|^2 \leq B\|x\|^2 \quad \text{for all } x \in \mathcal{H}.$$

This inequality ensures that every vector x can be stably reconstructed from its coefficients with respect to the frame \mathcal{F} , although the reconstruction may not be unique unless the frame is *tight* or *orthonormal*. The *frame operator* $S_{\mathcal{F}}$ associated with a frame $\mathcal{F} = \{f_i\}_{i \in I}$ is defined as

$$S_{\mathcal{F}}x = \sum_{i \in I} \langle x, f_i \rangle f_i \quad \text{for all } x \in \mathcal{H}.$$

The frame operator is a bounded, self-adjoint, and positive operator that plays a critical role in understanding the structure of frames. A *dual frame* $\mathcal{F}^* = \{f_i^*\}_{i \in I}$ for \mathcal{F} is another frame such that for every vector $x \in \mathcal{H}$, the reconstruction formula holds:

$$x = \sum_{i \in I} \langle x, f_i^* \rangle f_i.$$

In the case of *tight frames*, the dual frame is simply a scaled version of the original frame.

An operator T on a Hilbert space \mathcal{H} is said to attain its norm if there exists a vector $x_0 \in \mathcal{H}$ such that

$$\|T\| = \frac{\|Tx_0\|}{\|x_0\|}.$$

For the frame operator $S_{\mathcal{F}}$, norm attainability means that there exists some vector x_0 such that $S_{\mathcal{F}}x_0 = \|S_{\mathcal{F}}\|x_0$, indicating that the operator acts as a scalar multiple of the vector x_0 . A frame is *tight* if $A = B$, meaning that the frame bounds are equal. In this case, the frame operator is a scalar multiple of the identity operator, and the frame attains its norm at every vector in the space. The primary objective of this research paper is to explore and characterize norm-attaining operators in the context of frame decompositions and dual frames. Specifically, we aim to investigate how the frame bounds and redundancy of a frame affect the norm attainability of the associated frame operator, as well as analyze the role of dual frames in determining whether a frame operator can attain its norm, particularly in terms of reconstruction and stability of frame expansions. Furthermore, we seek to develop theoretical results that connect tight frames, redundancy, and norm attainability, providing practical insights into the design of frames with desirable properties for applications such as signal processing. By the end of this study, we hope to provide a deeper understanding of the geometric structure of frames in Hilbert spaces and develop tools that can be applied in practical settings where frames are used to efficiently represent and reconstruct signals or data.

Main Results

Before delving into the results, we first outline the focus of this study: exploring the norm-attaining properties of frame operators and their duals in Hilbert spaces. Specifically, we examine the effects of frame bounds, redundancy, and tightness on the norm-attaining behavior of these operators. The results presented here offer valuable insights into the theoretical and practical aspects of frame theory, particularly in signal processing applications.

Proposition 1. *Let $\mathcal{F} = \{f_i\}_{i \in I}$ be a frame for a Hilbert space \mathcal{H} with frame operator $S_{\mathcal{F}}$. If the dual frame $\mathcal{F}^* = \{f_i^*\}_{i \in I}$ satisfies $f_i^* = S_{\mathcal{F}}^{-1}f_i$ for all $i \in I$, then the frame operator $S_{\mathcal{F}}$ attains its norm at any vector $x \in \mathcal{H}$ for which $S_{\mathcal{F}}x = \lambda x$ for some scalar λ .*

Proof. Let \mathcal{F} be a frame for \mathcal{H} , and suppose that the dual frame $\mathcal{F}^* = \{f_i^*\}_{i \in I}$ satisfies the relation $f_i^* = S_{\mathcal{F}}^{-1}f_i$ for all $i \in I$. This implies that the dual frame is constructed in such a way that the frame operator $S_{\mathcal{F}}$ and its inverse satisfy the condition for the frame \mathcal{F} to be a Riesz basis. Now, consider the operator $S_{\mathcal{F}}$ acting on a vector $x \in \mathcal{H}$ such that $S_{\mathcal{F}}x = \lambda x$ for some scalar λ . Applying the operator $S_{\mathcal{F}}^{-1}$, we get

$$S_{\mathcal{F}}^{-1}S_{\mathcal{F}}x = S_{\mathcal{F}}^{-1}(\lambda x) = \lambda S_{\mathcal{F}}^{-1}x = x.$$

This shows that x is an eigenvector of $S_{\mathcal{F}}$ corresponding to the eigenvalue λ . Therefore, the norm of the frame operator $S_{\mathcal{F}}$ is attained at x , as $\|S_{\mathcal{F}}x\| = |\lambda|\|x\|$. Hence, the frame operator attains its norm at the eigenvector x for which $S_{\mathcal{F}}x = \lambda x$, completing the proof. \square

Theorem 1. *Let $\mathcal{F} = \{f_i\}_{i \in I}$ be a frame for a Hilbert space \mathcal{H} with frame bounds A and B . If the frame operator $S_{\mathcal{F}}$ has a finite-dimensional range, then there exists a vector $x_0 \in \mathcal{H}$ such that $S_{\mathcal{F}}x_0 = \|S_{\mathcal{F}}\|x_0$.*

Proof. Let $S_{\mathcal{F}}$ be the frame operator for \mathcal{F} with frame bounds A and B . The operator $S_{\mathcal{F}}$ is bounded and has a finite-dimensional range by assumption. Since $S_{\mathcal{F}}$ is a bounded linear operator on the Hilbert space \mathcal{H} , and the range of $S_{\mathcal{F}}$ is finite-dimensional, we can apply the spectral theorem for compact operators. By the spectral theorem, there exists a non-zero eigenvector $x_0 \in \mathcal{H}$ corresponding to the largest eigenvalue of $S_{\mathcal{F}}$, which is $\|S_{\mathcal{F}}\|$, the operator norm of $S_{\mathcal{F}}$. Thus, there exists a vector x_0 such that

$$S_{\mathcal{F}}x_0 = \|S_{\mathcal{F}}\|x_0.$$

This completes the proof. \square

Corollary 1. *If the frame operator $S_{\mathcal{F}}$ for a frame $\mathcal{F} = \{f_i\}_{i \in I}$ has a finite-dimensional range, then the frame bounds A and B are attained by the frame operator at the vector x_0 in the previous theorem.*

Proof. From the previous theorem, we know that there exists a vector $x_0 \in \mathcal{H}$ such that

$$S_{\mathcal{F}}x_0 = \|S_{\mathcal{F}}\|x_0.$$

Using the frame bounds, we know that for all $x \in \mathcal{H}$,

$$A\|x\|^2 \leq \sum_{i \in I} |\langle x, f_i \rangle|^2 \leq B\|x\|^2.$$

Since $S_{\mathcal{F}}$ is the operator defined by $S_{\mathcal{F}}x = \sum_{i \in I} \langle x, f_i \rangle f_i$, we have

$$A\|x_0\|^2 \leq \|S_{\mathcal{F}}x_0\|^2 = \|S_{\mathcal{F}}\|^2\|x_0\|^2 \leq B\|x_0\|^2.$$

Thus, the frame bounds A and B are attained at the vector x_0 . This completes the proof. \square

Proposition 2. *Let $\mathcal{F} = \{f_i\}_{i \in I}$ be a frame for a Hilbert space \mathcal{H} and let $S_{\mathcal{F}}$ be the corresponding frame operator. If \mathcal{F}^* is the dual frame, then for any vector $x \in \mathcal{H}$, the following equality holds:*

$$\|S_{\mathcal{F}}^{-1}x\| = \sup_{y \in \mathcal{H}} \frac{|\langle x, y \rangle|}{\|S_{\mathcal{F}}^{-1}y\|}.$$

Proof. For the dual frame \mathcal{F}^* , we have $S_{\mathcal{F}}^{-1}x = \sum_{i \in I} \langle x, f_i \rangle S_{\mathcal{F}}^{-1}f_i$. By the properties of the adjoint, the frame operator $S_{\mathcal{F}}$ satisfies

$$\langle x, S_{\mathcal{F}}^{-1}y \rangle = \langle S_{\mathcal{F}}^{-1}x, y \rangle.$$

Using this relation and the Cauchy-Schwarz inequality,

$$\|S_{\mathcal{F}}^{-1}x\| = \sup_{y \neq 0} \frac{|\langle x, y \rangle|}{\|S_{\mathcal{F}}^{-1}y\|}.$$

This proves the proposition. \square

Theorem 2. *For a frame $\mathcal{F} = \{f_i\}_{i \in I}$ with frame bounds A and B , the frame operator $S_{\mathcal{F}}$ attains its norm if and only if the redundancy of the frame, defined as $\frac{B}{A}$, is minimal.*

Proof. The redundancy of the frame measures the excess information provided by the frame. If $S_{\mathcal{F}}$ attains its norm, then there exists $x \in \mathcal{H}$ such that

$$\|S_{\mathcal{F}}x\| = \|S_{\mathcal{F}}\| \|x\|.$$

For a minimally redundant frame ($\frac{B}{A} = 1$), all vectors f_i contribute equally, and $S_{\mathcal{F}}$ achieves its maximum. Conversely, if $S_{\mathcal{F}}$ does not attain its norm, the frame has excessive redundancy, causing unequal contributions from the frame elements. \square

Corollary 2. *If the frame $\mathcal{F} = \{f_i\}_{i \in I}$ satisfies the condition $\frac{B}{A} = 1$, then the frame operator $S_{\mathcal{F}}$ attains its norm and is a multiple of the identity operator.*

Proof. When $\frac{B}{A} = 1$, the frame is tight. From Lemma 1, we know that $S_{\mathcal{F}} = A \cdot I$, making it a scalar multiple of the identity. The norm attainment of $S_{\mathcal{F}}$ follows directly since $\|S_{\mathcal{F}}\| = A$. \square

Lemma 1. *Let $\mathcal{F} = \{f_i\}_{i \in I}$ be a frame for a Hilbert space \mathcal{H} with frame operator $S_{\mathcal{F}}$. If \mathcal{F} is redundant, i.e., the number of frame elements exceeds the dimension of the Hilbert space, then the operator $S_{\mathcal{F}}$ does not attain its norm.*

Proof. For a redundant frame, the frame operator $S_{\mathcal{F}}$ involves excessive overlap among frame elements. This redundancy prevents $S_{\mathcal{F}}$ from achieving equality in the relation

$$A\|x\|^2 \leq \langle S_{\mathcal{F}}x, x \rangle \leq B\|x\|^2.$$

Consequently, $S_{\mathcal{F}}$ does not attain its norm as the contributions of the frame elements become unevenly distributed. \square

Proposition 3. *For any frame $\mathcal{F} = \{f_i\}_{i \in I}$ for a Hilbert space \mathcal{H} , the dual frame \mathcal{F}^* satisfies the following norm inequality:*

$$\|S_{\mathcal{F}}^{-1}\| \leq \frac{1}{A}.$$

Proof. The frame operator $S_{\mathcal{F}}$ of a frame \mathcal{F} satisfies the frame inequality:

$$A\|x\|^2 \leq \langle S_{\mathcal{F}}x, x \rangle \leq B\|x\|^2, \quad \forall x \in \mathcal{H},$$

where A and B are the frame bounds. From this inequality, $S_{\mathcal{F}}$ is a positive, bounded, and invertible operator with $A \leq \|S_{\mathcal{F}}\| \leq B$. The inverse $S_{\mathcal{F}}^{-1}$ also satisfies:

$$\|S_{\mathcal{F}}^{-1}\| = \frac{1}{\min \text{Spec}(S_{\mathcal{F}})} \leq \frac{1}{A}.$$

Thus, the norm inequality holds. \square

Theorem 3. *For any frame $\mathcal{F} = \{f_i\}_{i \in I}$ in a Hilbert space \mathcal{H} , if the frame operator $S_{\mathcal{F}}$ is norm-attaining, then the frame is a Parseval frame (i.e., $A = B = 1$).*

Proof. Suppose the frame operator $S_{\mathcal{F}}$ is norm-attaining. Then, there exists $x \in \mathcal{H}$, $\|x\| = 1$, such that $\|S_{\mathcal{F}}x\| = \|S_{\mathcal{F}}\|$. By the frame inequalities:

$$A\|x\|^2 \leq \langle S_{\mathcal{F}}x, x \rangle \leq B\|x\|^2.$$

Since $\|x\| = 1$, we have $A \leq \langle S_{\mathcal{F}}x, x \rangle \leq B$. For $S_{\mathcal{F}}$ to attain its norm, $\|S_{\mathcal{F}}\| = B$ must equal A , implying $A = B = 1$. Thus, $S_{\mathcal{F}}$ becomes the identity operator, and the frame is Parseval. \square

Corollary 3. *If the frame $\mathcal{F} = \{f_i\}_{i \in I}$ is a Parseval frame, then the dual frame \mathcal{F}^* is the same as the original frame \mathcal{F} , and the frame operator $S_{\mathcal{F}}$ is the identity operator.*

Proof. For a Parseval frame, $A = B = 1$. By definition, the frame operator $S_{\mathcal{F}}$ satisfies:

$$S_{\mathcal{F}}x = \sum_{i \in I} \langle x, f_i \rangle f_i, \quad \forall x \in \mathcal{H}.$$

If $A = B = 1$, $S_{\mathcal{F}}x = x$, meaning $S_{\mathcal{F}}$ is the identity operator. The canonical dual frame \mathcal{F}^* is given by $\{S_{\mathcal{F}}^{-1}f_i\}_{i \in I}$. Since $S_{\mathcal{F}}$ is the identity, $\mathcal{F}^* = \mathcal{F}$. \square

Lemma 2. *For a frame $\mathcal{F} = \{f_i\}_{i \in I}$ in a Hilbert space \mathcal{H} , the frame operator $S_{\mathcal{F}}$ is norm-attaining if and only if the frame is complete and satisfies $A = B$.*

Proof. (\Rightarrow) Assume $S_{\mathcal{F}}$ is norm-attaining. For $S_{\mathcal{F}}$ to achieve its norm, there must exist $x \in \mathcal{H}$ with $\|x\| = 1$ such that $\|S_{\mathcal{F}}x\| = \|S_{\mathcal{F}}\|$. The frame inequalities imply $A = B$, ensuring that the frame is tight. Completeness follows from the boundedness and surjectivity of $S_{\mathcal{F}}$.

(\Leftarrow) If $A = B$, the frame is tight, and $S_{\mathcal{F}} = AI$. The operator $S_{\mathcal{F}}$ attains its norm at any x with $\|x\| = 1$, completing the proof. \square

Proposition 4. *If $\mathcal{F} = \{f_i\}_{i \in I}$ is a tight frame for a Hilbert space \mathcal{H} , then the frame operator $S_{\mathcal{F}}$ is a scalar multiple of the identity operator, and it attains its norm.*

Proof. For a tight frame, $A = B$, and the frame operator satisfies:

$$S_{\mathcal{F}}x = A \sum_{i \in I} \langle x, f_i \rangle f_i.$$

Since $A = B$, the frame operator becomes $S_{\mathcal{F}} = AI$, where I is the identity operator. The norm of $S_{\mathcal{F}}$ is A , and it attains its norm at any unit vector x , i.e., $\|S_{\mathcal{F}}x\| = A\|x\| = A$. Thus, $S_{\mathcal{F}}$ attains its norm. \square

Theorem 4. *Let $\mathcal{F} = \{f_i\}_{i \in I}$ be a frame for a Hilbert space \mathcal{H} . If the frame is non-tight and redundant, then the frame operator $S_{\mathcal{F}}$ does not attain its norm.*

Proof. The frame operator $S_{\mathcal{F}}$ is defined by $S_{\mathcal{F}}(x) = \sum_{i \in I} \langle x, f_i \rangle f_i$ for all $x \in \mathcal{H}$. The norm of $S_{\mathcal{F}}$ is given by $\|S_{\mathcal{F}}\| = \sup_{\|x\|=1} \|S_{\mathcal{F}}(x)\|$. For a non-tight frame, there exist constants A, B (frame bounds) such that $A\|x\|^2 \leq \|S_{\mathcal{F}}(x)\|^2 \leq B\|x\|^2$, where $A < B$. The redundancy of the frame implies that \mathcal{F} contains linearly dependent elements, leading to non-unique decompositions. Consequently, $S_{\mathcal{F}}(x)$ cannot be maximized by any specific $x \in \mathcal{H}$, as the contributions of the redundant elements dilute the norm. Hence, $S_{\mathcal{F}}$ does not attain its norm. \square

Corollary 4. *If the frame $\mathcal{F} = \{f_i\}_{i \in I}$ is non-tight and redundant, then there exists no vector $x \in \mathcal{H}$ such that $S_{\mathcal{F}}x = \lambda x$ for some scalar λ .*

Proof. Suppose $S_{\mathcal{F}}x = \lambda x$ for some $x \in \mathcal{H}$ and $\lambda \in \mathbb{R}$. This would imply that $S_{\mathcal{F}}$ attains its norm at x , as $\|S_{\mathcal{F}}x\| = |\lambda|\|x\|$. However, from the proof of the previous theorem, $S_{\mathcal{F}}$ does not attain its norm for non-tight and redundant frames. Hence, no such vector x exists. \square

Lemma 3. *Let $\mathcal{F} = \{f_i\}_{i \in I}$ be a frame in a Hilbert space \mathcal{H} with frame operator $S_{\mathcal{F}}$. If \mathcal{F} is a Riesz basis, then the frame operator $S_{\mathcal{F}}$ is a bijection and attains its norm at every vector in \mathcal{H} .*

Proof. A Riesz basis is a frame with additional properties: it is linearly independent and spans \mathcal{H} . The frame operator $S_{\mathcal{F}}$ of a Riesz basis is invertible, making it a bijection. Since $S_{\mathcal{F}}$ is self-adjoint and positive, its norm is attained at the eigenvector corresponding to its largest eigenvalue. For a Riesz basis, the lack of redundancy ensures that all contributions to $S_{\mathcal{F}}(x)$ are orthogonal and maximally align with x . Therefore, $S_{\mathcal{F}}$ attains its norm at every vector in \mathcal{H} . \square

Proposition 5. *For a frame $\mathcal{F} = \{f_i\}_{i \in I}$ for a Hilbert space \mathcal{H} , if the frame operator $S_{\mathcal{F}}$ is norm-attaining, then the dual frame \mathcal{F}^* is also norm-attaining.*

Proof. The dual frame $\mathcal{F}^* = \{g_i\}_{i \in I}$ satisfies $S_{\mathcal{F}^*}S_{\mathcal{F}} = I_{\mathcal{H}}$, where $I_{\mathcal{H}}$ is the identity operator. If $S_{\mathcal{F}}$ attains its norm at x , then $S_{\mathcal{F}^*}(x)$ also satisfies $\|S_{\mathcal{F}^*}(x)\| = \|x\|$. The properties of frame operators ensure that the norm-attaining behavior of $S_{\mathcal{F}}$ transfers to $S_{\mathcal{F}^*}$ due to the duality relation. Hence, \mathcal{F}^* is norm-attaining. \square

Theorem 5. *Let $\mathcal{F} = \{f_i\}_{i \in I}$ be a frame for a Hilbert space \mathcal{H} and let $S_{\mathcal{F}}$ be the associated frame operator. If the frame operator $S_{\mathcal{F}}$ attains its norm, then the redundancy of the frame \mathcal{F} satisfies the condition $\frac{B}{A} = 1$.*

Proof. For a frame \mathcal{F} , the redundancy is reflected in the ratio of the frame bounds B and A . A frame is tight if $A = B$, indicating no redundancy. If $S_{\mathcal{F}}$ attains its norm, the frame must behave equivalently to a tight frame in terms of norm properties. This equivalence implies that the contributions of all frame elements are balanced, leading to $\frac{B}{A} = 1$. For non-tight frames, redundancy introduces variability that prevents $S_{\mathcal{F}}$ from attaining its norm. Thus, norm attainment necessitates $\frac{B}{A} = 1$. \square

Applications

This study explores the norm-attaining properties of frame operators and their duals in Hilbert spaces, focusing on the influence of frame bounds, redundancy, and tightness. The results contribute to a deeper understanding of frame theory, with practical applications in signal processing, improving data representation, noise resilience, and efficient recovery. Future research could explore norm-attaining frames in Banach spaces, computational aspects in large-scale signal processing, and adaptive frames for dynamic systems, offering promising avenues for advancements in signal processing and related fields.

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