Dropout : An Effective Approach to Prevent Neural Networks from Overfitting

Abstract

Overfitting remains a significant challenge in training neural networks, often leading to poor generalization on unseen data. Dropout has emerged as a powerful regularization technique to mitigate overfitting by randomly deactivating neurons during training, thereby preventing coadaptation of features and encouraging diverse representations. This paper explores the theoretical foundations and practical implementations of dropout across various neural network architectures, including Convolutional Neural Networks (CNNs) and Recurrent Neural Networks (RNNs). Through empirical analysis on benchmark datasets such as CIFAR-10, MNIST, and others, dropout is shown to improve model robustness and accuracy significantly. The study also compares dropout with alternative regularization methods, such as weight constraints and batch normalization, highlighting its effectiveness in diverse scenarios. Despite its success, dropout's performance is influenced by hyperparameter tuning and dataset characteristics. The paper concludes by discussing limitations, such as computational overhead, and proposes directions for optimizing dropout for specific applications, including dynamic dropout rates and hybrid regularization techniques.

Keywords

Overfitting, Dropout, Neural Networks, Regularization, Convolutional Neural Networks (CNNs), Recurrent Neural Networks (RNNs).

1- Introduction

Deep neural networks have become indispensable across a wide array of domains, including computer vision, natural language processing, and quantum machine learning, delivering groundbreaking performance. However, their complexity and over-parameterization often lead to overfitting, where models memorize the training data at the expense of

generalization to unseen inputs. Addressing this limitation is critical for deploying neural networks in real-world applications.

Dropout, a regularization technique introduced by Srivastava et al. (2014), has emerged as one of the most effective solutions to prevent overfitting. By randomly deactivating a fraction of neurons during training, dropout forces the network to learn robust and diverse feature representations. This process can be interpreted as training an ensemble of subnetworks, significantly improving generalization and reducing the risk of co-adaptation among neurons[1][2][3].

Recent innovations have enhanced dropout's utility. FocusedDropout targets foreground features by selectively dropping units unrelated to the classification target, enhancing network focus and accuracy in tasks like image classification and object detection[4]. Energy-based dropout (EDropout) combines regularization with network pruning by optimizing neuron selection based on energy loss, achieving substantial reductions in parameter count while maintaining accuracy[2][5]. Furthermore, integrating dropout into quantum convolutional neural networks (QCNNs) has highlighted its potential in emerging paradigms like quantum machine learning, though with unique adaptations to handle quantum entanglement[3].

Dropout's flexibility extends to various neural network layers, including visible, hidden, convolutional, and recurrent layers. It has been integrated into long short-term memory (LSTM) networks for sequence processing and convolutional networks for spatial data, showcasing its versatility. Techniques such as Monte Carlo dropout enable uncertainty quantification, critical for applications requiring probabilistic predictions, while adaptive dropout approaches dynamically adjust dropout rates for optimal performance[5][1].

Beyond conventional regularization, dropout also plays a pivotal role in model compression and efficient training. Strategies like applying dropout selectively to high-weight neurons or integrating it with additional regularization methods like weight decay have proven effective in balancing robustness and performance [4][5]. These advancements highlight dropout as not just a tool for mitigating overfitting but as a central component in designing efficient, adaptive, and generalizable neural networks.

This paper delves into dropout's foundational principles, recent developments, and applications across classical and quantum machine learning. By exploring its transformative impact on neural network training and generalization, we aim to underscore dropout's role as a cornerstone technique in modern deep learning.

Background theory

2. Theoretical Concept of Dropout

Dropout neuronal units are developed using stochastic volatile memristive devices to address overfitting and nonideal synapse issues in neural networks. These units help achieve high classification accuracy by mitigating the negative effects of overfitting and nonideality in synaptic performance. The stochastic and volatile switching performances of the devices contribute to these benefits[6].

Dropout is used to interpret a DNN as a Bayesian model, allowing for uncertainty evaluation in predictions. The paper proposes a sampling-free method to evaluate uncertainty by converting a network trained with dropout into a Bayesian neural network with variance propagation. This approach is computationally efficient and statistically reliable, extending beyond feed-forward networks to include recurrent networks like LSTM[7].

In recent times, deep learning architectures have significantly evolved and expandedleading to improvements in various tasks, such as classification, object detection, and segmentation, etc. But, he utilization of larger and more complex deep learning architecturescomes with the downside of increased risks of overfitting during training. In order totackle the challenges in deep learning, researchers have put forward various regularizationtechniques with dropout being particularly prominent among them. The standard dropout method can be used to relieve the overfitting issue, whichrandomly drops neurons from the neural network during training. For example, consider a neural network with an individual hidden linear layer of N units. Then the activation function Softmax is identical, taking the geometric arithmetic mean of the final output of the 2^N workable networks under standard Softmax. This method is closer to a machine learning approach, such as the bagging method, which has trainedthe instance separately and the output inference has used the arithmetic mean. Let us consider a single linear layer within a neural network. This layer is commonly referred to as a linear layer because it incorporates the behavior of a linear

activation function, f(x) = x. In this (Figure 1) layer, the final neuron (which serves as the output of the layer) is obtained by calculating the weighted sum of all the inputs. While this simplified mathematical explanation holds empirically for certain non-linear networks, it is important to note that the estimation of the model involves minimizing a loss function [8]. The ordinary least square (OLS) loss,

$$L_n = \frac{1}{2} \left(t - \sum_{i=1}^n w_i I_i \right)^2 \tag{1}$$

$$L_D = \frac{1}{2} \left(t - \sum_{i=1}^n \delta_i w_i I_i \right)^2 \tag{2}$$

Equation (1) represents the loss function used in general neural networks, while Equation (2) corresponds to the loss function specific to dropout networks. In the dropoutnetwork, the dropout rate is denoted by d, which follows a Bernoulli distribution with parameter p. This implies that d takes value 1 with probability p and 0 otherwise. In the given network, the input is denoted by "I" and the weight associated with each input is represented by "w".

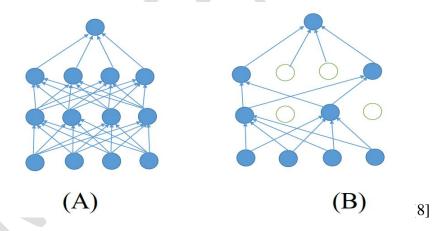


Figure 1. An illustration of a standard neural network (A) and after applying dropout (B).

During network training, the gradient descent approach is utilized for backpropagation. This results in the computation of the gradient of the dropout network, denoted by Equation (2), which subsequently feeds into the regular network, as shown in Equation (1)[8].

$$\frac{dL_D}{dw_i} = -t\delta_i I_i + w_i \delta_i^2 I_j^2 + \sum_{j=1, j \neq i}^n w_j \delta_i \delta_j I_i I_j \tag{3}$$

Now, a relationship can be established between the gradient of the dropout networkand the gradient of a regular network. Based on Equation (1), we can assume that $w0 = p_w$ w, where p represents the probability dropout variable. Therefore, this equation indicates that the weights in the regular network are scaled by the dropout probability, p[8].

$$L_D = \frac{1}{2} \left(t - \sum_{i=1}^n p_i w_i I_i \right)^2 \tag{4}$$

Taking the derivative of Equation (4),

$$\frac{dL_D}{dw_i} = -tp_i I_i + w_i p_i^2 I_j^2 + \sum_{j=1, j \neq i}^n w_j p_i p_j I_i I_j$$
 (5)

Now, move on to the next step. When we calculate the expectation of the gradient forthe dropout network, we obtain the following expression:

$$L\left[\frac{dL_D}{dw_i}\right] = -tp_iI_i + w_ip_i^2I_j^2 + w_iVar(\delta_i)I_i^2 + \sum_{j=1,j\neq i}^n w_jp_ip_jI_iI_j$$

$$= \frac{dL_N}{dw_i} + w_iVar(\delta_i)I_i^2$$

$$= \frac{dL_N}{dw_i} + w_ip_i(1-p_i)I_i^2$$
(6)

According to Equation (6), the expectation of the gradient with dropout is equivalent to the gradient of the regular neural network LN when the weights are scaled by p, denoted as $w\theta = p - w[8]$.

3. Contribution of Dropout Regularization Methods

explores implicit self-regularization in deep neural networks using dropout and its effects as a form of regularization that is inherently introduced through the training process. It discusses the various stages of self-regularization observable through dropout during the training process, which contributes to the robustness of the network against overfitting and how it can influence the generalization capabilities of the network[9].

In this section, we discuss the contribution of several commonly used dropout implements based on their performance under the dropout operation. The advantages of dropout regularization methods play a vital role in consolidating knowledge, highlighting key benefits and

inspiring further research in the field of deep learning. The primary aim of this section is to highlight the advantages of dropout regularization methods and provide comprehensive and consolidated overview of the benefits. In the following section, we provide a concise overview of the benefits that these methods of fer [8].

3.1. Effectiveness Improving

- **SpatialDropout**: Improves dropout in convolutional layers by dropping entire feature map channels, which can be more effective than traditional dropout for spatially correlated features[10].
- **DropBlock**: Further enhances effectiveness by dropping contiguous regions within the feature maps, encouraging the network to adapt to the absence of more significant portions of data, improving generalization over traditional methods[10].

3.1.1. Data augmentation

Utilizes various data manipulation techniques to enhance the volume and diversity of training data, which helps in improving the model's robustness and accuracy by simulating a wider array of possible input scenarios [11].

3.1.2. Preventing overfitting

Dropout and its Variants (SpatialDropout, DropBlock): These techniques randomly deactivate a subset of neurons during training to prevent them from co-adapting too much, which helps in avoiding overfitting by ensuring that the network generalizes well to new, unseen data [10][4].

3.1.3. Enhancing data representation.

Techniques like **DropBlock** not only prevent overfitting but also force the network to learn more robust features by not relying on any specific set of neurons, thus enhancing how data is represented internally within the network[10].

3.1.4. Preventing over-smoothing

FocusedDropout: Targets over-smoothing by selectively retaining features directly related to the target variable, thereby preserving essential information while still benefiting from the regularization effects of dropout[4].

3.2. Efficiency Improving

Dropout techniques can improve both the effectiveness and efficiency of a modelacross several processes[8].

3.2.1 Model Compression

Several dropout methods have been utilized for model compression purposes. By using these methods, the model structure can be madeeasier to compress after the random dropout of neurons, such as by performing neural pruning. Model summarization methods are used to reduce the number of model parameters, which can lead to improved training efficiency and reduced overfitting [8].

3.2.2. Model uncertainty estimation

Dropout techniques help in estimating model uncertainty by introducing stochasticity in the neural network's predictions, making the network's output sensitive to the absence of certain neurons, which can be interpreted as a measure of uncertainty[12].

3.2.3. Accelerate GCN training

In a graph convolutional network, the node feature informationsampling process has been proposed by GraphSAGE (sample and aggregate) ,which efficiently accelerates GCN training. The training purpose requires only someneighbor nodes to execute the training procedure. After conducting our work, weobserved similarities between our approach and the FastGCN and AS-GCN methods[8].

4. Categorization of Dropout Regularization Approaches

During the period from 2012 to 2022, categorized each dropout we regularizationapproach based on its year of introduction and development. The timeline of these dropoutregularization approaches is visually depicted in Figure 2. The graphical representation depicts the temporal continuity of dropout regularization techniques, providing a clearoverview of their scenario over the years. Figure 3 illustrates the taxonomy of dropout approaches, categorized into three maincategories: internal structure change, data augmentation, and input information. Each category further consists of several subcategories. By organizing the dropout approaches inthis taxonomy, we aim to provide a clear and systematic overview of the

different types ofdropout techniques based on the changes they induce in the internal structure, their usagein data augmentation, and their impact on input information. This taxonomy serves as a valuable reference for nderstanding the various dropoutapproaches employed in deep learning. The following section provides an indepth analysis of the taxonomic classification [8].

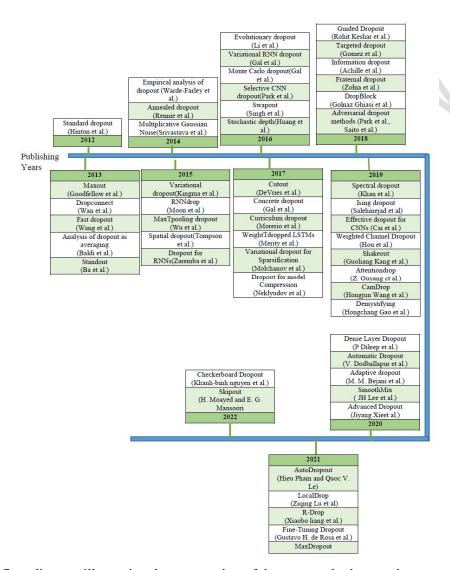


Figure 2. A flow diagram illustrating the progression of dropout methods over the years .

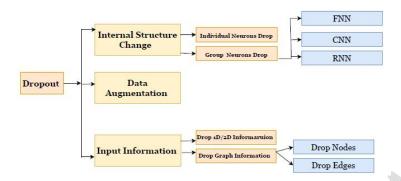


Figure 3. Taxonomy diagram according to dropout approaches.

5. Dropout Based on Internal Structure Changes

Various methods have been suggested for regularization in deep learning, beyond just dropout. Our review paper introduces the concept of internal dropout-based regularization, which alters weights and kernels during training but does not modify the input values. Traditional individual neuron dropout, as previously noted, involves randomly deactivating certain neurons during the training process. Each neuron is assigned a dropout probability, leading to its output being zeroed. This technique reduces dependence on particular neurons, aiding in the prevention of overfitting and promoting the learning of more stable and generalizable features. Conversely, group neuron dropout adopts a different strategy by deactivating whole groups or subsets of neurons at once, rather than individual ones. These groups might be organized by various criteria, such as their spatial location or their functional role within the network. We explore these and other prevalent methods in more detail in the subsequent subsection[8].

5.1. FNN and CNN-Based Neurons Drop Approaches

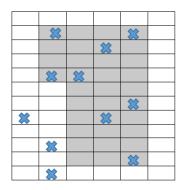
In this section, we examine a range of well-known neuron dropout strategies applicable to both feedforward neural networks (FNNs) and convolutional neural networks (CNNs)[8].

5.1.1 DropBlock

DropBlock is a structured form of dropout for convolutional layers where units in a contiguous region of a feature map are dropped together. This method is more effective than traditional dropout for CNNs as it handles the spatial correlation between units in convolutional layers [10].

Deep neural networks generally achieve high performance when their parameters areover-optimized and trained with significant amounts of noise and regularization techniqueslike weight decay and dropout. In the course of this research, a method known as

Drop-Block is a form of a structured dropout that involves removing all units located within acontinuous region of a feature map (tensor). The size of the dropped regions (Figure 4) isdetermined by a hyperparameter, and during each training iteration, the dropped regions are randomly selected.[8].



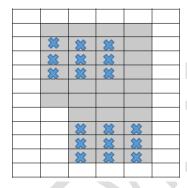


Figure 4. DropBlock structure visualization.

Moreover, gradually increasing the number of units discarded during the trainingprocess leads to greater accuracy and makes the model less sensitive to variations inhyperparameters, such as the learning rate and batch size. The image classification taskusing AmoebaNet-B and ResNet-50 models has been shown to improve the accuracy of the model compared to other variations of dropout. Based on the experimental results, using dropout techniques such as AmoebaNet-B and ResNet-50 can improve the accuracyof image classification tasks compared to other dropout variations. Specifically, on the ImageNet dataset, the baseline accuracy of 2% for ResNet-50 was beaten by using cutoutand AutoAugment and AmoebaNet-B achieved an improvement of around 0.3% accuracy[8].

5.1.2 MaxDropout

While Dropout randomly removes the neurons in the training phase, Maxdropout deactivates the neurons based on their activations. It first normalizes the tensor's values and then sets to 0 every single output greater than a given threshold p, so the higher this value, the most likely it to be deactivated. The original work shows it can improve ResNet18 results on CIFAR-0 and CIFAR-100 datasets, and it also outperforms Dropout on the WideResNet-28-10 model [11].

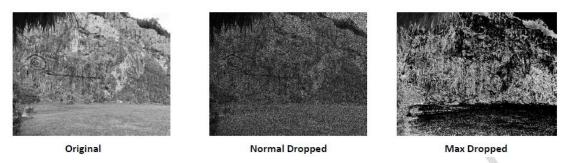


Figure 5. This is a visualization comparing the effects of normal dropout and MaxDropout on anoriginal image[8].

5.1.3 AutoDrop Dropout

AutoDropout automates the learning of dropout patterns via reinforcement learning, effectively adapting dropout rates and patterns to optimize network training. Though computationally intensive, it represents an advanced approach to dynamically adjusting dropout to enhance model training outcomes [12].

5.1.4 Attentiondrop

This method involves adaptively dropping features based on attention information. It prioritizes features that are deemed less critical for the current task, thus forcing the network to rely on a broader set of features and potentially improving generalization[4].

5.2 Dropout with Recurrent Neural Networks (RNNs)

One of the primary obstacles in implementing dropout with Recurrent Neural Networks (RNNs) is their recurrent nature, where the same weights are reused across different time steps. This recurrence can lead to issues when dropout causes varying units to be excluded at each time step, potentially leading to erratic and unstable behaviors that degrade RNN performance. Yarin Gal and Zoubin Ghahramani have developed a robust method for integrating dropout regularization into RNNs. Their paper presents a theoretically sound approach to applying dropout, with a mathematical model that incorporates noise addition to the hidden units. V. Pham and colleagues have shown that this technique of dropout regularization can enhance RNNs' effectiveness in tasks like handwriting recognition by applying dropout exclusively to feedforward connections and not to recurrent ones. Practically, they introduced dropout through a dedicated layer that maintains input values except at the dropped points. Another concept, "recurrent dropout," uses a "masking" tensor that affects the hidden state before activation. This tensor is randomly generated during each training cycle, based on a specified dropout rate. [8].

6. AVOID OVERFITTING

To combat overfitting in deep neural networks, techniques like early stopping and dropout are employed. Early stopping prevents the model from learning too closely from the training dataset by halting the training process when improvements on a validation set cease. This method avoids the model's tendency to fit the noise and peculiarities in the training data rather than generalizing from it. On the other hand, dropout enhances the generalization of neural networks by randomly deactivating certain neurons during the training process. This randomness forces the network to learn more robust features that can perform well on unseen data, as it cannot rely on the presence of any particular neuron. Both techniques are crucial in developing neural network models that are not only deep and complex but also capable of generalizing well to new, unseen data[13].

7. Literature review

Kaiyu Zhang(2020) addressed the challenges of small sample sizes in fault diagnosis by proposing a compact convolutional neural network (CNN) augmented with a multiscale feature extraction unit. This unit used 1D convolutional kernels of varying scales to extract diverse features, reducing network depth and mitigating overfitting. The compact CNN, consisting of shallow layers and a softmax classifier, processed these features efficiently while employing dropout to enhance generalization. The approach was evaluated on three datasets, demonstrating high accuracy and strong generalization, outperforming traditional CNNs and SVMs. Future work included automating hyperparameter selection, improving generalization for dynamic conditions, and addressing multi-label classification[14].

Ozan _Irsoy (2021) introduced a methodology for adapting the Hierarchical Mixture of Experts (HMoE) model, which organized multiple experts into a tree structure with gating functions at internal nodes and experts at the leaves. A novel dropout technique was applied to internal nodes, dropping entire subtrees to reduce overfitting and produce smoother outputs. The model was trained using a binary tree with stochastic gradient descent (SGD) and the Adam optimizer,

employing cross-entropy loss for classification and squared loss for regression. Evaluations on datasets such as synthetic regression data, MNIST, CIFAR-10, and SSTB demonstrated that dropout reduced overfitting, improved generalization, and outperformed L1 and L2 regularization for deep trees. Qualitative analysis highlighted the diverse representations and ensemble effects created by dropout, showcasing its ability to balance bias and variance and enhance model performance[15].

Albert Senen (2023) analyzed the convergence of dropout-based stochastic training in neural networks, provided theoretical guarantees for stationary points, and highlighted the influence of dropout probability on convergence rates. It showed that dropout mitigated overfitting, with less severe impacts on wide networks compared to deep ones[16].

Joshua Shunk (2022)introduced The NSDropout methodology had involved splitting the dataset into training, validation, unseen validation, and testing subsets. Training had been used to update weights, while validation had identified overfitting. A portion of the training data had been reserved for unseen validation to refine dropout criteria. Using a standard feed-forward architecture, NSDropout had selectively removed noisy neurons based on activation deviations calculated from training and validation data. Dropout masks had been iteratively refined, and backpropagation had updated gradients only for retained neurons. Techniques like SGD, momentum, and L2 weight decay had stabilized training, with dropout proportions tuned per layer (0.5–0.7 for input, 0.2–0.4 for hidden layers). Validation had dynamically influenced neuron retention, ensuring unbiased optimization. Evaluation on MNIST, Fashion-MNIST, and CIFAR-10 had shown that NSDropout had enhanced generalization, reduced reliance on large datasets, and achieved high accuracy, particularly with small datasets. Iterative mask refinement and hyperparameter tuning had been key to its robustness[17].

Aakash Ravindra Shinde(2024) proposed a methodology for mitigating overfitting in quantum convolutional neural networks (QCNNs). Datasets such as Medical MNIST, BraTS, and Stellar were prepared by splitting them into training, testing, and validation subsets, with dimensionality reduction techniques like principal component analysis (PCA) applied. QCNNs were designed with data encoding, convolutional layers, and pooling layers, which were optimized during

training. Two post-training methods were tested: gate dropout, which proved ineffective due to accuracy losses, and Post-Training Parameter Adjustment (PTA), which effectively reduced overfitting by adjusting variational parameters. Validation accuracy and the testing-validation accuracy gap demonstrated that PTA successfully enhanced model performance and generalization. [18].

Ho-Chan Kim(2020) focused on reducing overfitting in neural networks using the MNIST dataset, tested multi-layer neural networks and convolutional neural networks (CNNs), including simple and deep CNNs. Techniques such as regularization, dropout, and data augmentation were applied to mitigate overfitting. Experiments evaluated the effects of weight decay, dropout, and training dataset size, using test and validation accuracy to measure generalization. Results showed that deep CNNs achieved the highest accuracy and were the most effective at reducing overfitting. Increasing the training dataset size had a greater impact on mitigating overfitting compared to regularization or dropout, highlighting the importance of data quantity in improving generalization[19].

Afshin GHOLAMYa (2023) explored the use of deep learning for geoscience problems, including solving inverse problems and predicting events like earthquakes and volcanic eruptions. Dropout training was applied to improve training speed and reduce overfitting by training sub-networks on different data portions and averaging their results. The geometric mean was identified as the optimal averaging method, outperforming the arithmetic mean. A theoretical analysis explained its success based on criteria like commutativity and continuity. Experiments validated the geometric mean's effectiveness, showing superior generalization and prediction accuracy. The study concluded that the geometric mean was the best choice for dropout training, enhancing deep learning applications in geosciences[20].

Minghui Liu(2022) FocusedDropout was a regularization method designed to enhance classification performance and prevent overfitting in CNNs. It was tested on CIFAR10, CIFAR100, and Tiny ImageNet across architectures like ResNet, DenseNet, and VGGNet. The method identified target-related features by selecting the reference channel with the highest average activation value and retaining relevant units using a binary mask. To prevent overfitting, FocusedDropout was applied to 10% of training batches per epoch with increased weight decay. The training incorporated data augmentation and hyperparameter optimization. Evaluation

demonstrated improved generalization and accuracy, outperforming methods like Dropout and SpatialDropout. Class Activation Mapping (CAM) confirmed its effectiveness in enhancing focus on target regions[4].

Nebojsa Bacanin(2020) proposed a hybridized enhanced bat algorithm (BA-OM) to address overfitting in CNNs by automating and optimizing dropout probability selection. Combining the bat algorithm (BA) with artificial bee colony (ABC) mechanisms, BA-OM balanced global search and local optimization to refine dropout rates. Experiments on MNIST and CIFAR-10 datasets demonstrated the method's effectiveness, achieving test accuracies of 71.76% on CIFAR-10 with a dropout rate of 0.671 and 99.19% on MNIST with a dropout rate of 0.5216. BA-OM outperformed other metaheuristic techniques, improved generalization, and streamlined dropout rate optimization. Future work was planned to explore broader applications and additional datasets[21].

Yuanyuan Chen(2021) developed The Adaptive Sparse Dropout (AS-Dropout) method was developed to address overfitting in deep neural networks (DNNs) by combining dropout with sparsity, ensuring only 2–5% of neurons remained active during training. Unlike traditional dropout, AS-Dropout adaptively calculated activation probabilities based on neuron activation values, prioritizing those with higher activation. The method involved normalizing activation values, applying a sigmoid function to compute probabilities, and dynamically selecting active neurons. It was tested on MNIST, COIL-100, and Caltech-101 using fully connected networks, VGGNet19, and ResNet50. AS-Dropout consistently outperformed traditional dropout, standout, and sparseout methods, achieving superior generalization and effectively mitigating overfitting, particularly in fully connected networks. However, its performance in convolutional neural networks was less satisfactory, requiring further refinement for broader applications. The method showed significant potential, especially for small datasets[22].

Claudio Filipi (2021)introduced MaxDropout, a novel regularization method that enhanced generalization in deep neural networks by deactivating the most active neurons in hidden layers, allowing less active neurons to learn more informative features. It used L2 normalization to scale activation values and selected neurons for retention based on a randomly chosen dropout rate. MaxDropout was applied by replacing standard Dropout layers or combining it with regularizers like Cutout. Experiments on CIFAR-10 and CIFAR-100 datasets using ResNet18 and

WideResNet architectures showed that MaxDropout outperformed standard Dropout, achieving better generalization and reduced overfitting, with further improvements when combined with Cutout. The method demonstrated strong potential for image classification tasks, with plans for broader applications and implementation in additional frameworks[23].

Sanghun Lee(2020) revisited spatial dropout to address the limitations of conventional element-wise dropout in convolutional layers, which failed due to correlations from weight sharing and local connectivity. Spatial dropout, which dropped entire feature maps, effectively mitigated neuron co-adaptation while maintaining structural integrity. Experiments on CIFAR-10 and CIFAR-100 datasets with VGG, Wide-ResNet, and DenseNet-BC architectures showed significant improvements, particularly with DenseNet-BC achieving a 3.32% error rate on CIFAR-10 using only 3 million parameters. Training employed SGD with Nesterov momentum over 300–600 epochs. Results demonstrated spatial dropout's superior regularization and efficiency, with future work aimed at mathematical enhancements and integration with pre-training[24].

Zhuang Liu(2022) investigated dropout's potential to address underfitting and overfitting by introducing two techniques: early dropout, which was applied during initial training to improve data fitting in underfitting models, and late dropout, which was introduced later to enhance generalization in overfitting models. Experiments with Vision Transformers (ViT), Mixer, and ConvNeXt architectures on ImageNet-1K, COCO, and ADE20K datasets utilized the AdamW optimizer, cosine learning rate schedules, and dropout rates between 0.1 and 0.7. Metrics such as top-1 accuracy, training loss reduction, and gradient variance alignment highlighted the effectiveness of these techniques. Early dropout consistently reduced training loss and improved test accuracy in underfitting scenarios, while late dropout improved generalization in overfitting cases. Models trained with these methods outperformed baselines in object detection, segmentation, and classification tasks, showcasing dropout's potential for large-scale neural network training and encouraging further research on scheduling strategies[25].

Ioannis E. Livieris (2021) developed a novel Dropout Weight-Constrained Recurrent Neural Network (DWCRNN) to address the challenge of forecasting cryptocurrency prices, which were highly nonlinear and fluctuating. By combining weight-constrained RNNs with dropout techniques, the model effectively reduced overfitting and improved generalization. It utilized

daily price data for BTC, ETH, XRP, LTC, and the CCi30 index from January 2017 to June 2019, with 27 months for training and 3 months for testing, and applied data transformations for stationarity. The model was evaluated using MAE and RMSE across forecasting horizons of 7, 14, and 21 days and outperformed state-of-the-art algorithms like LSTM, BiLSTM, CNN, and regression models. Optimal dropout rates (10%-20%) were identified, and statistical tests validated its superior accuracy. While the model showed promise, limitations included unclear interactions between weight constraints and dropout techniques and the need for dynamic retraining to adapt to evolving data. Future research was proposed to focus on real-world trading system applications to assess profitability[12].

Bihi Sabiri(2022) proposed two techniques, early stopping and dropout, to address overfitting in deep learning models. Early stopping prevented overfitting by halting training when validation loss stopped improving, using strategies such as predefined epochs and validation monitoring. Dropout enhanced generalization by randomly deactivating neurons during training, encouraging the network to learn diverse features. Experiments on datasets like MNIST, SONAR, and Diabetes demonstrated that dropout improved accuracy and reduced loss across datasets, with accuracy on the Diabetes dataset increasing from 75% to 81% and loss decreasing from 81% to 45%. Early stopping further minimized overfitting by stopping training at optimal points, improving generalization while reducing training time. Both techniques effectively improved model robustness, with future research aimed at dynamic dropout adjustments, network compression, and sparse representations to reduce complexity[13].

Kishan K C (2021) introduced a Bayesian Joint Inference Framework to tackle overfitting and uncertainty calibration in neural networks by jointly inferring network depth and applying dropout regularization. The framework used a beta process to model network depth, enabling theoretically infinite hidden layers, and a conjugate Bernoulli process to control neuron activations. Structured Stochastic Variational Inference (SSVI) efficiently approximated the marginal likelihood for optimization. Experiments on synthetic, UCI, and image datasets, including MNIST and CIFAR-10, demonstrated the framework's superiority in accuracy, uncertainty calibration, and overfitting prevention, outperforming state-of-the-art methods like vanilla dropout and stochastic depth. It dynamically adjusted network depth and neuron activations to create compact, efficient structures and proved effective in continual learning

tasks, reducing catastrophic forgetting on rotated and permuted MNIST datasets. The framework achieved well-calibrated predictions, with future work aimed at enhancing dynamic adaptation and scalability for real-world applications[26].

Xiaobo Liang (2021) proposed R-Drop, a consistency training strategy to address inconsistencies caused by dropout between training and inference stages. R-Drop minimized the bidirectional Kullback-Leibler (KL) divergence between the output distributions of two sub-models generated via dropout during training, adding this as a regularization term to the negative log-likelihood loss. Experiments across 18 datasets and five tasks, including translation (e.g., WMT14), summarization (e.g., CNN/DailyMail), and classification (e.g., CIFAR-100, ImageNet), demonstrated its effectiveness. Tested on models like Transformer, BART, RoBERTa-large, and Vision Transformer (ViT), R-Drop achieved state-of-the-art results, such as BLEU scores of 30.91 and 43.95 on WMT14 English→German and English→French, respectively, and improved model generalization and robustness against overfitting. While computational cost increased due to dual forward passes, future work aimed to explore pre-training stages, apply R-Drop to other architectures like CNNs, and optimize efficiency[27].

B. H. Pansambal (2023) focused on addressing overfitting in neural networks by applying dropout regularization at different layers, including visible and hidden layers, to improve performance and generalization. Dropout worked by randomly deactivating a percentage of neurons during training, reducing co-adaptation and enabling better generalization. The approach iteratively formed "thinned networks" by retaining subsets of neurons based on dropout rates (e.g., 20%, 30%, 40%, 50%) and aggregating their outputs. Experiments showed that a dropout rate of 0.30 achieved the highest accuracy (87.93%) for visible layers, while 0.40 yielded 85.17% for hidden layers. While dropout effectively reduced overfitting and enhanced accuracy, it increased computational costs due to iterative training. Future work aimed to integrate dropout with other regularization techniques, such as L2 regularization, to improve efficiency and reduce computational overhead[5].

Karshiev Sanjar (2021) introduced **Weight Dropout**, a regularization technique for deep neural networks that deactivated weight connections instead of entire neurons to reduce overfitting and improve generalization. It was implemented in convolutional neural networks (CNNs) using a pre-trained ResNet-50 model and employed a dynamic Bernoulli-based dropout mask that was

updated at each training step. Batch gradient descent (BGD) was used for optimization, processing features through batch normalization, activation functions, and weight dropout layers. Evaluations on MNIST, CIFAR-10, and skin lesion segmentation datasets showed superior performance, with validation accuracy of 94.51% (MNIST), 91.68% (CIFAR-10), and leading segmentation metrics (pixel accuracy: 96.27%, Dice coefficient: 88.59%, IoU: 51.48%). Despite its effectiveness, the approach increased computational complexity and risked losing critical information. Future work aimed to enhance scalability and efficiency by refining the dropout process with more informed selection criteria[28].

Panissara Thanapol (2021) addressed overfitting and poor generalization in CNNs trained with limited data by exploring combinations of data augmentation, dropout, and batch normalization techniques. Using a contracted CIFAR-10 dataset with only 10% of the original samples, augmentation methods such as rotation, width and height shifts, shear transformations, and random erasing were tested individually and in combination. Dropout (omission rates: 0.5–0.8) was applied with augmentation, while batch normalization was used to stabilize activations, though it was not combined with dropout due to adverse effects. Injecting augmentation during training, particularly at 30 epochs, yielded the best results. The highest test accuracy (61.5%) was achieved by combining width and height shift augmentation with dropout injected at 30 epochs. Random erasing showed poor performance, while batch normalization with augmentation provided marginal gains. Limitations included dataset specificity and increased computational costs, with future work aimed at applying these strategies to other datasets and optimizing CNNs for limited data scenarios[29].

Hongqian Qi (2025)DeepQA: A Unified Transcriptome-Based Aging Clock Using Deep Neural Networks" paper featured a novel deep learning framework utilizing a Mixture of Experts (MoE) architecture capable of handling diverse data types. This model employed the hinge-mean-absolute-error (Hinge-MAE) loss function for effective training on both healthy and unhealthy subjects, adapting to deviations in chronological age. Unlike traditional models, DeepQA analyzed all gene expression data directly using saliency maps to identify significant genes, avoiding the biases of prior gene selection and reducing computational intensity. The model used a comprehensive human bulk RNA-Seq dataset, incorporated data augmentation like Gaussian noise for enhanced robustness, and was implemented in Python and PyTorch on modern GPU

hardware. The evaluation involved cross-validation on both subject types, focusing on accuracy and noise robustness. This approach addressed major challenges in biological age prediction, such as bias in age estimation of unhealthy subjects and data integration across multiple cohorts, enhancing prediction accuracy and applicability[30].

F Yuanbiao Guo(2025)Session-based recommendation with quaternion-enhanced attention calculation" paper involved several steps, initially encoding all session items uniformly using Word Embedding. Each session was graphically represented with a unique adjacency matrix. The core technique used a quaternion-enhanced graph attention mechanism to update item representations by calculating learnable weights for both item and session representations. Contributions from various time nodes were aggregated using a positional attention mechanism to form a primary session representation. This, combined with item representations, was refined through a self-attention mechanism enhanced by quaternion weights to produce a high-quality session representation. Quaternion calculations further optimized weight quality during critical computational stages and reduced model parameters. The methodology concluded by predicting behaviors using the final representations to calculate recommendation scores. Experimentally validated on benchmark datasets, the method showed improved performance over baseline models, highlighting the benefits of integrating quaternion algebra into session-based recommendation systems[31].

Jae Hyung Kim (2025) Forecasting Natural Gas Price Movements Using Machine Learning and Deep Learning Models: A Comparative Study" involved collecting and preprocessing historical natural gas price data and sentiment data from January 2023 to June 2024. The analysis used various models, including traditional methods like SARIMA, machine learning techniques such as Logistic Regression and Random Forests, and advanced deep learning models like Neural Networks and CNNs, all enhanced with sentiment analysis and visualization techniques. The models were evaluated using accuracy, MSE, MAPE, and R², along with a Wilcoxon signed-rank test to assess performance. The study emphasized the effectiveness of deep learning models in capturing the complex dynamics of the volatile natural gas market [32].

Table 1-Comparison table

Kaiyu Zhang	- CWRU dataset: For small sample and cross- load diagnosis SQ dataset: For variable speeds and fault	- Mitigates overfitting while preserving feature richness. - Enhances generalization with shallow	- Requires	- Limited generalization under dynamic operational	- Compact CNN with multiscale feature extraction.	- Demonstrated high accuracy
	severities Escalator dataset: For real-world industrial applications.	architecture and dropout. - Achieves high accuracy with limited samples and varying speeds.	consuming hyperparamet er optimization.	conditions Does not address multilabel classification or unbalanced datasets.	- 1D convolutional kernels for multiscale analysis Gradient descent with exponentially decreasing learning rate.	and strong generalization across datasets Outperformed traditional CNNs and SVM-based methods.
Ozan _Irsoy	Synthetic regression data, MNIST, CIFAR-10, SSTB	- Reduces overfitting by distributing decision- making across the tree Produces smoother outputs Improves generalization across datasets Outperforms L1/L2 regularization, especially for deep trees.	- Adapting dropout to hierarchical structures may add complexity compared to flat architectures.	- Effectiveness depends on selecting appropriate dropout rates Results may vary for deep trees and different datasets.	Hierarchical Mixture of Experts (HMoE) with custom dropout applied at internal gating nodes Training: SGD with Adam optimizer Loss functions: cross-entropy (classification), squared loss (regression).	- Dropout effectively reduces overfitting (smaller training- validation error gaps) Higher dropout rates yield smoother outputs Improves generalization across tasks and datasets.
Albert Senen	Wide and deep neural networks, arborescence-shaped networks.	Reduces overfitting; ensures convergence to stationary points; effective in wide networks.	Convergence impaired by exponential dependence on depth.	Dropout probability significantly affects convergence; bounds may not fully represent real- world behaviors. Training takes	SGD with dropout, compact projections, explicit risk function for arborescence networks.	Guaranteed convergence to stationary points; slower in deep networks; better performance in wide networks with fewer dropout layers.

Shunk	Fashion-		tuoinina tima	um to foun	into subsets.	~ .
Snunk		Improves	training time	up to four	2. Calculate	Superior
	MNIST,	generalizatio	compared to standard	times longer than standard		generalizatio
	CIFAR-10;	n and reduces			neuron	n and
	Dataset split	overfitting by	neural	networks;	activation	accuracy
	into training,	selectively	networks and	additional	averages for	across
	validation,	dropping	traditional	hyperparamete	each class.	datasets;
	unseen	noisy	dropout	r (p) adds	3. Identify and	achieved
	validation,	neurons;	methods;	complexity;	drop noisy	near-perfect
	and testing	reduces	requires more	sorting	neurons using	accuracy with
	subsets.	dependence	computational	operations for	dropout masks.	as few as 750
	Training data	on large	effort due to	mask creation	4. Perform	MNIST
	further	training	iterative	consume	forward	examples;
	divided for	datasets;	refinement of	significant	propagation	significantly
	unseen	achieves	dropout	computational	with thinned	lower error
	validation.	superior	masks.	resources.	layers.	rates
		accuracy with			5. Perform	compared to
		small			backpropagatio	traditional
		datasets;			n using SGD.	dropout and
		dynamically			6. Refine	other
		updates			dropout masks	regularization
		dropout			iteratively.	techniques.
		masks.			7. Evaluate on	teemiiques.
		masks.			unseen	
					validation and	
					testing	
					datasets.	
					1. Prepare	
	Medical				datasets and	
	MNIST,	Successfully			apply	
	BraTS,	reduced			dimensionality	PTA reduced
	Stellar	overfitting	Gate dropout	Gate dropout	reduction.	overfitting,
	datasets	with PTA,	method was	highlights	2. Train QCNN	improved
	split into	improved	ineffective,	QCNN	with data	validation
	training,	validation	causing	vulnerability;	encoding,	accuracy, and
Aakash	testing, and	accuracy,	significant	PTA is	convolutional,	increased
Ravindra	validation	enhanced	accuracy	dependent on	and pooling	generalizatio
Shinde,	subsets.	generalization	drops. PTA	manual or	layers.	· ·
Simue,	Dimensional	across	requires	empirical	3. Use PTA to	n across
	ity reduction	datasets, and	careful	determination	adjust selected	datasets, with consistent
	1000 TOTAL T	preserved	parameter	of adjustment	parameters.	
	(e.g., PCA)	quantum	selection and	thresholds.	4. Validate and	success in
	was applied	circuit	adjustment.	tinesnoids.	test	mitigating
	to adapt data	integrity.			performance	overfitting.
	to circuit	mogniy.			using accuracy	
	capacity.				metrics.	
	MNIST	Deep CNNs	Techniques	The study	1. Prepare	Deep CNNs
	dataset with	consistently	like	focused solely	datasets with	outperformed
	60,000	achieved the	regularization	on the MNIST	augmentation.	other models,
	training	highest	and dropout	dataset,	2. Test multi-	achieving the
	images and	accuracy and	were less	limiting	layer neural	highest
Ho-Chan	10,000 test	better	effective than	generalization	networks and	accuracy.
Kim	images, each	generalization.	increasing	to other	CNNs (simple	Increasing
	depicting a	Increasing	training data	datasets or	and deep).	dataset size
	28x28	training data	_	tasks.	3. Apply	was more
			size or using advanced			
	grayscale	size		Regularization	regularization,	effective in
	digit. Data	significantly	architectures	and dropout	dropout, and	reducing

	augmentation techniques like flipping and rotation were used.	reduced overfitting compared to regularization or dropout.	like deep CNNs.	were not as impactful in reducing overfitting.	data augmentation. 4. Measure performance using test and validation accuracy.	overfitting than regularization or dropout.
Afshin GHOLAM Ya,	Historical geoscience data, including seismic activity and volcanic eruption patterns, used for inverse problemsolving and prediction tasks.	The geometric mean improved training efficiency, reduced overfitting, and enhanced generalizatio n and prediction accuracy in dropout training.	The study mainly focused on theoretical and experimental validation of the geometric mean, potentially overlooking other promising combination methods.	Limited to geoscience applications, the findings may not generalize across other fields or datasets.	1. Apply deep learning models with dropout training. 2. Train subnetworks on different data portions. 3. Combine results using the geometric mean. 4. Evaluate performance through prediction accuracy and generalization metrics.	The geometric mean outperformed other methods like the arithmetic mean, providing better generalization and prediction accuracy for dropout-related deep learning tasks.
Minghui Liu	CIFAR10, CIFAR100, and Tiny ImageNet datasets used for classificatio n tasks.	Improved classification performance and generalizatio n; effectively focuses on target features while preventing overfitting.	Requires careful tuning of hyperparamet ers, such as dropout participation rate and weight decay, for optimal performance.	FocusedDrop out relies on spatial invariance and may not generalize well to nonimage data or tasks where spatial alignment is less critical.	1. Select the reference channel with the highest average activation value. 2. Identify target-related units using spatial invariance. 3. Generate a binary mask to retain target units. 4. Apply FocusedDrop out to 10% of batches with increased weight decay. 5. Train models with data augmentation and	Achieved improved accuracy and generalizatio n across CNN architectures; outperformed baseline methods like Dropout, SpatialDropo ut, and DropBlock; enhanced target focus, as verified by Class Activation Mapping (CAM).

					hyperparamet er optimization.	
Nebojsa Bacanin	MNIST and CIFAR-10 datasets. MNIST: Two convolution al layers, two pooling layers, one fully connected layer. CIFAR-10: Three convolution al layers, three pooling layers, two fully connected layers. Datasets were divided into training, validation, and testing subsets.	Automated and optimized dropout probability selection; balanced exploration and exploitation using hybridized bat algorithm (BA-OM); achieved superior test accuracy and generalization compared to other methods.	Requires a complex combination of metaheuristic techniques, which increases computational complexity.	Results are limited to MNIST and CIFAR-10 datasets; broader generalization to other datasets and tasks is yet to be tested.	1. Use a hybridized enhanced bat algorithm (BAOM). 2. Alternate between BA's global search and ABC's local optimization. 3. Evaluate dropout probabilities based on test accuracy. 4. Optimize dropout rates iteratively.	Achieved test accuracy of 71.76% on CIFAR-10 with a dropout rate of 0.671 and 99.19% on MNIST with a dropout rate of 0.5216. Outperformed other metaheuristic techniques like PSO, FA, and CS in optimizing dropout rates.
Yuanyuan Chen	MNIST, COIL-100, and Caltech- 101 datasets. Neural network architectures included fully connected networks, VGGNet19, and ResNet50.	Effectively mitigates overfitting by combining dropout with sparsity; adaptively calculates activation probabilities, improving generalization; particularly effective for small datasets.	Requires careful tuning of the shift parameter to control neuron activation probabilities.	Less effective in convolutional neural networks compared to fully connected networks; further refinement is needed for broader applicability.	1. Normalize activation values to a defined range. 2. Compute activation probabilities using a sigmoid function. 3. Retain 2–5% of neurons dynamically based on calculated probabilities. 4. Evaluate performance using recognition errors and training-testing error analysis.	AS-Dropout outperformed traditional dropout, standout, and sparseout methods, achieving better generalization and effectively preventing overfitting, particularly in fully connected networks.

Claudio Filipi	CIFAR-10 and CIFAR- 100 datasets, comprising 60,000 images with varying class distributions . Evaluated on ResNet18 and WideResNet architectures .	Encourages less active neurons to learn more informative features, improving generalizatio n and reducing overfitting; outperforms standard Dropout and enhances performance when combined with Cutout.	Requires careful tuning of the dropout rate threshold; additional computation al complexity due to L2 normalizatio n and selection of neurons to deactivate.	Limited to image classification tasks; broader applications like object detection and speech recognition need further testing.	1. Apply L2 normalization to neuron activation values. 2. Identify the most active neurons. 3. Retain neurons below a threshold determined by a random dropout rate. 4. Multiply the activation tensor by a generated mask to deactivate selected neurons. 5. Incorporate MaxDropout into training with data augmentation methods.	MaxDropout outperformed standard Dropout on CIFAR-10 and CIFAR-100 datasets, achieved better generalization, and further improved performance when combined with Cutout.
Sanghun Lee	CIFAR-10 and CIFAR- 100 datasets (60,000 32×32 color images)	Effectively mitigates neuron co-adaptation while maintaining structural integrity of convolutional layers. Improves regularization, reduces error rates, and increases model efficiency. Outperforms standard dropout, achieving significant error reduction.	No explicit disadvantages mentioned; possibly increased training time.	Evaluated only on CIFAR-10 and CIFAR-100 datasets and specific architectures. Further mathematical analysis and testing with pre-training are needed.	Spatial dropout replaces standard dropout, dropping entire feature maps in CNNs. Training used Stochastic Gradient Descent (SGD) with Nesterov momentum (0.9) and weight decay (0.0001).	Achieved a 3.32% error rate on CIFAR-10 with DenseNet-BC using only 3 million parameters. Demonstrated superior regularization and performance compared to standard dropout.
Zhuang Liu	ImageNet-1K (initial evaluations), COCO, ADE20K	Early dropout reduces underfitting by improving	Additional hyperparamet er tuning required for dropout rates	Effectiveness depends on specific model architectures and dataset	Early dropout: Applied during initial training and	Early dropout reduced training loss and improved test accuracy

	(downstream tasks).	data fitting and lowering training loss. Late dropout mitigates overfitting and enhances generalizatio n accuracy in large models.	and activation epochs.	characteristics. Early dropout may not help models that are already overfitting.	deactivated later. Late dropout: Introduced in later training phases. Both utilize dropout with variable rates (0.1 to 0.7).	in underfitting regimes. Late dropout enhanced generalization accuracy for overfitting models, outperforming baselines.
Ioannis E. Livieris	Daily price data for BTC, ETH, XRP, LTC, and CCi30 index from January 2017 to June 2019. Training data covered 27 months, and testing data spanned 3 months.	DWCRNN significantly reduced overfitting and improved generalizatio n. It captured reliable patterns while filtering out noise, outperformin g other models in forecasting accuracy.	Requires extensive tuning of dropout rates and hyperparamet ers. The interaction between weight constraints and dropout techniques remains unclear.	Effectiveness in real-world trading systems was not tested. The model's adaptability to dynamic market changes and new data needs further research.	Dropout Weight- Constrained Recurrent Neural Network (DWCRNN), combining weight constraints and dropout techniques applied to feed-forward connections to improve forecasting.	DWCRNN outperformed state-of-the-art models (e.g., LSTM, CNN, SVR) with lower MAE and RMSE across all datasets and forecasting horizons, demonstrating superior accuracy and efficiency.
Bihi Sabiri	MNIST, SONAR, and Diabetes datasets.	Dropout reduced overfitting, improved generalization, and forced the network to learn diverse features. Early stopping minimized overfitting and saved training time.	Dropout can hinder performance during training as it suppresses neuron activations. Early stopping may halt training prematurely, risking underfitting.	Dropout requires careful tuning of probabilities and may not completely eliminate overfitting. Early stopping depends heavily on validation set monitoring.	Dropout randomly deactivates neurons during training with specified probabilities (e.g., 0.25 to 0.5). Early stopping halts training when validation loss stops improving.	Dropout improved accuracy on the Diabetes dataset from 75% to 81% and reduced loss from 81% to 45%. On MNIST, accuracy increased from 98% to 99%, and loss decreased from 5% to 3%.
Kishan K C	Synthetic datasets, UCI datasets, and image datasets like MNIST, FashionMNI ST, SVHN, and CIFAR- 10.	Dynamically adjusts network depth and neuron activations based on data, achieving	Computationa 1 complexity due to infinite hidden layer modeling and the need for approximatio ns like Structured Stochastic	Requires further improvements for real-world scalability and dynamic adaptation to evolving datasets.	Bayesian Joint Inference Framework using a beta process for network depth and conjugate Bernoulli process for neuron	Achieved superior performance in accuracy and uncertainty calibration, dynamically adjusted

		compact, efficient structures. Enhances accuracy, uncertainty calibration, and robustness to overfitting.	Variational Inference (SSVI).		activations, optimized via SSVI.	network depth, and reduced catastrophic forgetting in continual learning tasks.
Xiaobo Liang	18 datasets across 5 tasks: neural machine translation (e.g., WMT14), abstractive summarizatio n (e.g., CNN/DailyM ail), language understandin g (GLUE benchmark), language modeling (e.g., Wikitext-103), and image classification (e.g., CIFAR-100, ImageNet).	Improved model generalizatio n and reduced overfitting. Achieved state-of-the-art results across multiple datasets. Enhanced consistency between training and inference.	Increased computation al cost due to dual forward passes per input sample.	Tested only during fine-tuning; performance during pre-training was not explored.	R-Drop: Minimizes the bidirectional Kullback-Leibler (KL) divergence between output distributions of two submodels generated via dropout during training.	Achieved state-of-the-art BLEU scores of 30.91 (WMT14 English→Ger man) and 43.95 (WMT14 English→Fren ch) and robust improvements across all tasks and datasets.
B. H. Pansamb al	Not explicitly specified in the paragraph, but the methodology focuses on neural networks with visible and hidden layers for general use across datasets.	Reduced overfitting, improved generalization, and enhanced accuracy for larger networks by randomly deactivating neurons and creating diverse subnetworks.	Increased computational costs due to iterative subnetwork creation during training.	Requires careful selection of dropout rates and batch sizes to achieve optimal performance; computational overhead remains significant for larger networks.	Dropout regularization: Randomly deactivates a subset of neurons during training based on a specified dropout rate, iteratively forming "thinned networks."	Achieved best accuracy of 87.93% for visible layers with a 0.30 dropout rate and 85.17% for hidden layers with a 0.40 dropout rate, effectively reducing overfitting.
Karshiev Sanjar	MNIST, CIFAR-10, and a skin lesion	Reduced overfitting and improved generalizatio	Increased computational complexity due to	Risk of losing critical information by	Weight Dropout: Randomly deactivates	Achieved 94.51% validation accuracy on

	segmentation dataset.	n. Achieved higher validation accuracy and superior performance in segmentation tasks compared to baseline models.	dynamic dropout mask updates.	deactivating connections, which could impact performance in certain scenarios.	weight connections using a Bernoulli distribution, dynamically updating the dropout mask during training.	MNIST, 91.68% on CIFAR-10, and top performance on segmentation tasks (pixel accuracy: 96.27%, Dice coefficient: 88.59%, IoU: 51.48%).
Panissara Thanapol	CIFAR-10 dataset, with five contracted versions created (each containing 10% of the original samples) to simulate limited data scenarios.	Improved generalizatio n and reduced overfitting by combining data augmentation , dropout, and batch normalization techniques.	Increased computation al overhead due to complex augmentation and dropout combination s.	Results were dataset-specific and may not generalize to other scenarios with limited data. Batch normalization could not be combined with dropout effectively.	Combined data augmentation (e.g., width and height shifts, rotation, shear), dropout (omission rates: 0.5–0.8), and batch normalization. Injected augmentation during training (best at 30 epochs).	Achieved the highest test accuracy of 61.5% by combining width and height shift augmentation with dropout, injected at 30 epochs during training.
Hongqian Qi	Human bulk RNA-Seq datasets.	Uses MoE architectur e and Hinge-MAE loss, handles heterogene ous data without prior gene selection.	Requires significant computati onal resources.	Risk of overfitting; needs large, diverse datasets for effective training.	Mixture of Experts architecture , Hinge- MAE loss function.	Evaluated via cross- validation on healthy and unhealthy subjects; specific metrics not detailed.
F Yuanbiao Guo	Benchmar k datasets used for validation.	Incorporates quaternion-enhanced attention to improve weight	- Increased complexit y due to quaternion calculation s.	- Risk of overfitting with complex quaternion enhanceme	- Quaternion- enhanced graph attention for item	Outperforme d baseline models, validating the efficacy of

		calculations and reduce parameters. - Uses positional attention to aggregate time node contributions effectively.		nts.	and session representati ons, combined with positional and self- attention mechanism s.	quaternion enhancemen ts in improving recommenda tion accuracy.
Jae Hyung Kim	Historical and sentiment data from online forums, daily records from Jan 2023 to Jun 2024.	Combines multiple modeling techniques with sentiment analysis and visualizations for comprehen sive analysis.	Complexit y in handling and integrating various data types.	High computatio nal demand and data requiremen ts for deep learning models.	Includes SARIMA, Logistic Regression, Decision Trees, Random Forests, Neural Networks, RNNs, CNNs.	Models evaluated on accuracy, MSE, MAPE, R²; deep learning models performed best in capturing complex data relationships.

8. discussion

The discussion highlights various advanced regularization techniques to combat overfitting and improve generalization in deep learning models. FocusedDropout demonstrated superior performance by selectively retaining target-related features in CNNs, outperforming traditional methods. Similarly, the DWCRNN effectively forecasted cryptocurrency prices, surpassing state-of-the-art models, though it required further exploration of dynamic re-training and weight constraints. Early stopping and dropout techniques improved robustness by halting training at optimal points and deactivating neurons randomly. Weight Dropout enhanced generalization by targeting weight connections but increased computational complexity. Lastly, data augmentation combined with dropout yielded the best results for limited data scenarios,

despite dataset-specific limitations and higher computational costs. These studies underline the effectiveness of tailored approaches while identifying areas for future refinement and broader applications.

9. Conclusion

Dropout has proven to be a highly effective regularization technique for mitigating overfitting and enhancing generalization in neural networks. By introducing stochasticity during training, dropout prevents co-adaptation of neurons, fostering robust and diverse feature learning. Empirical evidence demonstrates its effectiveness across a variety of architectures, including CNNs, RNNs, and Transformers, and on datasets such as CIFAR-10, MNIST, and ImageNet. Advanced dropout variants, such as FocusedDropout, SpatialDropout, and WeightDropout, have further refined its applicability, addressing specific architectural challenges and enhancing performance in targeted scenarios. Despite its widespread adoption, dropout is not without limitations. Challenges such as computational overhead, sensitivity to hyperparameter tuning, and dataset-specific effectiveness persist. Recent advancements, including adaptive dropout rates and hybrid approaches, show promise in overcoming these challenges and improving scalability. Furthermore, integrating dropout with other regularization methods, such as data augmentation and batch normalization, has yielded notable results, particularly in limited data scenarios. The study of dropout underscores its pivotal role in modern deep learning, both as a standalone method and in combination with other techniques. Future research should focus on refining adaptive mechanisms, exploring broader applications, and enhancing computational efficiency to ensure dropout remains a cornerstone in building robust, generalizable neural networks.

Disclaimer (Artificial intelligence)

Author(s) hereby declare that NO generative AI technologies such as Large Language Models (ChatGPT, COPILOT, etc.) and text-to-image generators have been used during the writing or editing of this manuscript.

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